# **Social Impact Assessment Report**

**October: 2021** 

**Project ID: P154990** 

Sub-Project: Improvement & Up-gradation of Hajin Ajas via Saidnara Road Sub-Project (District Bandipora), Kashmir (package-2)

Jhelum Tawi Flood Recovery Project
(World Bank Funded)

Prepared by: PIU (Kashmir), JK ERA for the World Bank

# **Table of Contents**

Definiti	8	
Affecte	d Persons (APs)	8
1. Ba	ckground Introduction	13
1.1	Project Background	13
1.2	Project Development Objective	14
1.3	Project Components	14
1.4	Sub- Project Background	14
1.5	Sub-Project Description	15
1.6	Benefits of the Sub-Project	15
1.7	Need for Social Impact Assessment	15
1.8	Need for SIA in Hajin Ajas via Saidnara road Sub-Project	16
1.9	Objective of Social Impact Assessment	16
1.10	Methodology adopted for the SIA	16
1.11	Structure of SIA Report	18
2. Pro	oject Description	19
2.1	Description of the Project	19
2.2	Sub-Project Description	20
2.3	Project Location	20
2.4	Details of the Existing Project Road	21
2.4	The embankment, Carriageway, and Shoulder	22
2.4	Horizontal and vertical alignment	22
2.4	Pavement Condition	22
2.4	2.4 Cross Drainage Structures	22
2.4	Low Lying Areas	23
2.4	Existing Retaining wall	23
2.4	2.7 Existing Drain	24
2.4	Existing Pavement Composition	24
2.4	RoW Details of Sub-Project Road	27
2.4	.10 Major Utilities Along the Existing Road	28
2.5	Proposed Activities (Improvement & Upgradation)	28
2.5	5.1 Carriageway Width	29
2.5	5.2 Horizontal and vertical alignment	30
2.5	Improvement of Sight Distance	30

	2.5.	4 Improvement of Cross Drainage Structures	30
	2.5.	5 Drainage Works	31
	2.5.	6 Protective works of the Embankment	32
	2.5.	7 Pavement Design	32
	2.5.	Rehabilitation of existing pavement	33
	2.5.	9 Traffic Safety and Other Appurtenances	36
3.	Leg	al and Regulatory Framework	38
	3.1	Operational Policies of World Bank	38
	3.2	World Bank's Environment Health and Safety Guidelines	38
	3.3	National & U.T's Policies	39
	3.4	Other Central and State acts which may be applicable in the Sub-project:	40
4.	Soci	o-Economic Profile of the Project Impact Area	42
	4.1	Physical features	42
	4.2	Location and size	42
	4.3	Physiography	42
	4.4	Drainage	42
	4.5	Climate	43
	4.6	Soils	43
	4.7	Flora and Fauna	43
	4.8	Population	44
	4.9	Sex Ratio	44
	4.10	Literacy	44
	4.11	Cropping Patterns	44
	4.12	Horticulture	45
	4.13	Irrigation	45
	4.14	Animal Husbandry	45
	4.15	Socio-Economic Profile of Sub-Project villages	45
5.	Ana	lysis of Alternatives	50
	5.1	'Without' and 'With' Project Scenario'	50
	5.1.	1 'Without' Project Scenario	50
	5.1.	2 'With' Project Scenario	50
6.	Stal	ceholder's Consultation	52
	6.1	Identification of Stakeholder	52
	6.2	Objective of Stakeholder's Consultation	52
	6.3	Approach for Consultation	53

	6.4	Details of Public Consultation in the sub-project road	53
	6.5	Information's Shared	54
	6.6	Feedback received	54
7	. Ana	lysis of Social Impacts	56
	7.1	Impact on Land	56
	7.2	Impacts on Structures	57
	7.3	Impact on Livelihood	57
8	. Mit	igation Measures	58
	8.1	Social Management Plan	58
	8.2	Objectives	58
	8.3	Scope	59
	8.4	Context for the SMP	59
	8.5	Methodology for SMP Preparation	59
	8.6	Probable social issues that may arise during the construction stage	60
	8.7	Social Management Plan	60
	8.8	Gender Action Plan	65
	8.8.	1 Status of Women in J&K	65
	8.8.	Legal Provision Related to Women in J&K	66
	8.8.	3 Strategy	67
	8.8.	4 Avoiding Gender based violence	68
	8.9	Labour influx and Labour Management	68
	8.9.1	Objectives	68
	8.9.2	General Requirements	69
	8.9.3	Hiring & Recruitment Procedures	70
	8.9.4	Worker's Accommodation	71
	8.9.5	Security	71
	8.9.6	Provisions for Drinking Water	72
	8.9.7	Cooking Arrangements	72
	8.9.8	Waste Water Generation	72
	8.9.9	Medical facilities	73
9	. Moi	nitoring and Evaluation	74
	9.1	Safeguards Supervision	74
	9.2	Concurrent Monitoring and Quarterly Reporting	74
	9.3	Safeguards Monitoring Plan	74
	9.4	Independent Safeguard Audits	75

	9.5	Right to Information and Disclosure	75
10.	Gri	evance Redressal Mechanism	76
	10.1	Composition of Grievance Redress Committee (GRC) at various levels of $76$	the project
	10.2	Approach to GRC	78
	10.3	Legal Options to Aggrieved persons/PAPs	79
11.	Ins	titutional Arrangement	80
	11.1	Institutional Arrangement in the project	80
	11.2	Implementation Stage	80
12.	Anı	nexures	82
A	nnexu	re1: Environment and Social Screening Data Sheets	82
A	nnexu	re 2: GIS Map of the Proposed Sub-project	89
A	nnexu	re 3: Encumbrance Free RoW Certificate by PIU	91
A	nnexu	re 4: Row Communication from Chief Engineer PWD (R&B) Kashmir	92
A	nnexu	re 5: Notice for inviting objections (15.9.2021)	93
		re 6: Reconfirmation of RoW by PMU.	95
		re 7: Plan & Profile	96
A	nnexu	re 8: Photographs of the Road	100
		re 09: Public Consultation (20.6.2019 & 28.6.2019)	102
		List of Tables	
Tab	le 1: D	etails of Low Lying Area	23
		etails of Existing Protective Works	24
		etails of existing drainetails of Existing Pavement Composition	
		verview of the proposed works in the sub-project road	
Tab	le 6: D	etails of TCS schedule	29
		etails of proposed culverts	
		st of Protective Workecommended pavement designecommended pavement layers as per flexible pavement design	
		World Bank's Operational Policies	
		Applicable National and U.T.'s Policies	
		Area under crops (in hectares)	
		Livestock and Poultry population as per livestock census (2008-09) Social Management Plan	
140	11. (	List of Figures	
		Overview of Proposed Hajin Ajas via Saidnara Road Sub Project tructure of GRM	
0'			

### **ABBREVIATIONS**

BPL Below Poverty Line

CBO Community Based organisations

COI Corridor of Impact

CPR Common Property Resources

DC District Collector

DSC Design & Supervision Consultant

DED Detailed Engineering Design

EIA Environmental Impact Assessment

EP Entitlement/Eligible Persons

ERA Economic reconstruction Agency

ESMF Environment and Social Management Framework

ESSR Environment & Social Screening Report

EM Entitlement Matrix

GBV Gender Based violence

GESI Gender Equality and Social Inclusion

Govt. Government

GRC Grievance Redressal Cell/Committee

HP Halqa Panchayat

IRC Indian Road Congress

IDA International Development Agency

IRAP International Road Assessment Programme

JTFRP Jhelum Tawi Flood Recovery Project

J&K Jammu & Kashmir

DSC Design & Supervision Consultant

DEA Department of Economic Affairs

DPR Detailed Project report

NGO Non-Governmental Organization

OP Operational Policy

PAP Project Affected Person

PAF Project Affected Family

PDF Project Displaced Family

PDP Project Displaced Person

PIU Project Implementation Unit

PMU Project Management Unit

PMC Project Management Consultant

R&R Resettlement & Rehabilitation

RAP Resettlement Action Plan

RFCTLAR&R Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and

Resettlement act, 2013

RDNA Rapid Damage and Needs Assessment

ROW Right of Way

RTI Right to information Act

SAR Social Assessment Report

SES Socio- Economic Survey

SEO Site Engineering Office

SH State Highway

SIA Social Impact Assessment

SC/ST Schedule Caste and Schedule Tribe

SMF Social Management Framework

SMP Social Management Plan

SOR Schedule of Rates

### **Definition of Words and Phrases**

### **Affected Persons (APs)**

Affected Persons (APs), for this Project, means all the people directly affected by a project-related land acquisition that leads to their physical relocation or loss of assets, or access to assets, with adverse impacts on livelihoods. This includes any person, household (sometimes referred to as project affected family), firms, or public or private institutions who on account of project-related land acquisition would have their;

- 1. standard of living adversely affected;
- 2. right, title or interest in all or any part of a house, land (including residential, commercial, artisanal mining, agricultural, plantations, forest and/or grazing land), water resources or any other moveable or fixed assets acquired, possessed, restricted or otherwise adversely affected, in full or in part, permanently or temporarily; and/or
- 3. business, occupation, place of work or residence, or habitat adversely affected, with or without displacement. APs therefore include;
  - persons affected directly by the acquisition or clearing of the right-of-way or construction work area;
  - persons whose agricultural land or other productive assets such as mining, trees or crops are affected;
  - persons whose businesses are affected and who might experience loss of income due to project-related land acquisition impacts;
  - persons who lose work/employment as a direct result of project-related land acquisition; and
  - people who lose access to community resources/property as a result of project-related land acquisition.

#### Census

Census means the pre-appraisal population record of potentially affected people, which is prepared through a count based on the village or other local population data or census.

### Compensation

Compensation means payment in cash or kind for an asset to be acquired or affected by a project at replacement costs.

#### Cut-off-date

Cut-off-date means the date after which people will not be considered eligible for compensation if they are not included in the list of APs as defined by the census. Normally, the cut-off date for the titleholders is the date of the detailed measurement survey.

#### **Displacement**

Displacement means either physical relocation or economic displacement directly caused by project-related land acquisition.

#### **Encroachers**

Encroachers mean those persons who extend their property beyond that for which they hold a Title are encroachers and would not be eligible for compensation for land for which they do not possess a title.

#### **Entitlement**

Entitlement means the range of measures comprising cash or kind compensation, relocation cost, income rehabilitation assistance, transfer assistance, income substitution, and relocation which are due to /business restoration which is due to APs, depending on the type and degree nature of their losses, to restore their social and economic base.

#### **Livelihood Restoration**

Livelihood Restoration means the measures required to ensure that APs have the resources to at least restore, if not improve, their livelihoods. Restoration of livelihood of all APs is one of the key objectives of the World Bank's resettlement policy. It requires that people are given the means and assistance necessary for them to improve, or at least restore, their livelihood and living conditions to pre-project levels. Inventory of Losses means the pre-appraisal inventory of assets as a preliminary record of affected or lost assets.

## **Land Acquisition**

Land Acquisition means the process whereby a person is compelled by a public agency to alienate all or part of the land s/he owns, possesses, or uses, to the ownership and possession of that agency, for public purposes, in return for prompt and fair compensation. This includes direct acquisition and easement.

#### Non-Titled

Non-titled means those who have no recognizable rights or claims to the land that they are occupying and includes people using private or state land without permission, permit, or grant.

#### Rehabilitation

Rehabilitation means the assistance provided to severely affected APs to supplement payment of compensation for acquired assets to improve, or at least achieve full restoration of, their preproject living standards and quality of life to pre-project level.

#### Resettlement

Resettlement means all social and economic impacts that are permanent or temporary and are:

- (i) caused by the acquisition of land and other fixed assets,
- (ii) by the change in the use of land, or
- (iii) restrictions imposed on land as a result of the project.

#### **Resettlement Plan**

Resettlement Plan means the time-bound action plan with budget setting out resettlement strategy, objectives, entitlements, actions, responsibilities, monitoring, and evaluation.

#### **Structures**

Structures mean all structures affected, or to be acquired, by the project such as living quarters, wells, hand pumps, agricultural structures such as rice bins, animal pens, stores/warehouses, commercial enterprises including roadside shops and businesses.

#### **Squatters**

Squatters mean the same as a non-titled person i.e. those people without legal title to land and/or structures occupied or used by them. World Bank policy explicitly states that such people cannot be denied assistance to restore livelihoods and living conditions based on the lack of title.

#### **Vulnerable**

Vulnerable means any people who might suffer disproportionately or face the risk of being marginalized from the effects of resettlement i.e; (i) single household heads with dependents; (ii) disabled household heads; (iii) poor households; (iv) elderly households with no means of support; (v) the landless or households without the security of tenure; and (vi) ethnic minorities.

### **Social Impact Assessment (SIA)**

Social impact assessment (SIA) is the process of identifying and managing the social impacts of industrial projects. It can also be applied to policies, plans, and programs. SIA is used to predict and mitigate negative impacts and identify opportunities to enhance benefits for local communities and broader society.

### **Project Area Influence**

The area likely to be affected by the project, including all its ancillary aspects, such as power transmission corridors, pipelines, canals, tunnels, relocation, and access roads, borrow and disposal areas, and construction camps, as well as unplanned developments induced by the project (e.g., spontaneous settlement, logging, or shifting agriculture along access roads). The area of influence may include, for example, the area where the project road is located.

## **Executive Summary**

The catastrophic deluge of September 2014 has adverse impact on the socio-economic aspects of the Union territory of Jammu and Kashmir (erstwhile state) and massive infrastructure damaged in which not only Srinagar but other districts as well affected. It left behind a trail of siltation in most of the water bodies as environmental degradation. In connection to the catastrophic flood, a mission of the World Bank visited the Union territory of Jammu and Kashmir (erstwhile state) during February 1-6, 2015 on request of the Government of India to review and assess the damages to produce a rapid multi-sectoral assessment report of the damages and needs. The RDNA estimates the total damages and loss caused by floods at about INR 211,975 million (US\$ 3,550.45), most of it to housing, livelihoods, and roads and bridges, which combined represented more than 70% of the damages in terms of value.

Based on the RDNA results, restoration works underway, and discussions with the GoJ&K, "Jhelum and Tawi Flood Recovery Project (JTFRP)" will focus on restoring critical infrastructure using international best practice on resilient infrastructure. One of the sub-project identified under Component 2 of JTFRP is "Improvement and Upgradation of Hajin Ajas via Saidnara Road" Sub-Project in Bandipora district of Kashmir province. The proposed sub-project has a total length of 7.3 kms and traverses through Koshum Bagh, Rakhi Hajan, Sadurkote, Saidnara, Gund Prang, Ajas villages. The SIA has been conducted for the proposed road sub-project.

Sub-projects under "Jhelum and Tawi Flood Recovery Project" have a prior requirement of screening which is based on three categories; viz., nature of the project, size of the project and location of the project with a sensitive area criterion. The screening for this sub-project has been conducted to identify the potentially significant social issues of the sub-project at an early stage for detailed Environmental and Social impacts and it did not envisage any adverse social impact.

The project information was shared and disseminated with the stakeholders in the public consultations which were conducted successfully with the people of Hajin, Saidnara, and Ajas villages on 20.06.2019 and 28.06.2019. Information about proposed sub-project, available RoW and other things were shared with the locals.

Project Manager (Transport, Kashmir division), JK ERA, vide letter no ERA/PMT/20/1122 dated 07.09.2020 has issued an encumbrance free certificate which confirms that upgradation and strengthening of the road for a length of 7.186 km under JTFRP shall be restricted to the existing and available RoW. Further, it is also certified that there are no residential commercial, religious structure or any CPR in the existing RoW. The letter issued by the Project Manager, also refers the certificate (letter no. CE/RBK/HD/7165, dated 14.06.2019) issued by the Chief Engineer,

PWD(R&B) department in which it has been mentioned that the existing RoW for the sub-project road is 5.50 meters.

The revenue record of the proposed sub-project could not be obtained from the concern department by JK ERA. Since the revenue record of the proposed sub-project was not available, therefore PMU, JTFRP published a notice in the two local newspapers namely "The Daily Tameel Irshad" and "Kashmir Images" on 15.09.2021 informing general people and those who are likely to be benefitted/affected in particular, about the upgradation of this road sub-project within the existing right of way under World Bank funding. It also called for any objection from the local people regarding use of RoW, along with supporting documentary evidence within 07 days of publication of the notice in the newspaper. The office of Director safeguards did not receive any objection or claim from anyone even after the lapse of one month of the publication of notice in two local newspapers. Thereafter, Director Safeguards issued an official letter vide no. ERA/DSG/PS/94-99 dated 25.10.2021 regarding encumbrance free RoW detailing therein the process followed to reconfirm the ROW ownership status.

Therefore, on the basis of certificate issued by Project Manager (Transport, Division Kashmir), site visits, approved DPR and notice published in the newspaper it can be said that the sub-project does not have any adverse impact on the assets or on the livelihood of anyone.

However, if during execution, there is any unanticipated impact of the sub-project on any asset, the issue shall be addressed as per the provisions of Environment & Social Management Framework (ESMF) for the project, applicable policies of the WB and that of U.T of J&K.

## 1. Background Introduction

## 1.1 Project Background

In September 2014, Jammu & Kashmir experienced torrential monsoon rains in the region causing major flooding and landslides. The continuous spell of rains from September 2-6, 2014, caused Jhelum, Chenab, and Tawi Rivers as well as many other streams/tributaries to flow above the danger mark. The Jhelum River also breached its banks flooding many low-lying areas in the Kashmir region, including the capital. In many districts, the rainfall exceeded the normal by over 600%. In the Jammu division also, many districts received rainfall above normal. Jammu district itself recorded over 467.3 mm of rainfall during Sept 2014, which is 339% excess of the normal. (source-Indian Meteorological department website). The Indian Meteorological Department (IMD) records precipitation above 244.4 mm as extremely heavy rainfall, and J&K received 558mm of rain in the June- September period, as against the normal 477.4 mm.

Due to the unprecedented heavy rainfall, the catchment areas particularly the low-lying areas were flooded for more than two weeks. Some areas in urban Srinagar stayed flooded for 28 days. Water levels were as high as 27 feet in many parts of Srinagar. The areas from the main tributaries of river Jhelum vis-à-vis Brengi nallah, Vishav nallah, Lider nallah and Sandran nallah started overflowing due to the heavy rainfall causing water levels in Jhelum river to rise. Subsequently, the discharge of the river Suran was 200 thousand cusecs as against an average of 50 thousand cusecs. With the excessive discharge of water, the river Suran affected the basin areas and also took a different course at various locations causing damages to the surrounding villages in the catchment area. Water levels also increased in the rivers of Chenab and Tawi, both of which were flowing above normal levels. Due to the rivers overflowing nearly 20 districts of the Union territory of Jammu and Kashmir (erstwhile state) were impacted.

A joint team led by the **Department of Economic Affairs (DEA), GoI,** with representation from the World Bank visited J&K on October 21, 2014. Subsequently, GoI has sent a request to the World Bank on January 5, 2015, to field a Joint Rapid Damage and Needs Assessment (RDNA) Mission within the Union territory of Jammu and Kashmir (erstwhile state). In response, a mission of the World Bank visited the Jammu and Kashmir (erstwhile state) during February 1-6, 2015 to produce a rapid multi-sectorial assessment report of the damages and needs. The RDNA estimates the total damages and loss caused by floods at about INR 211,975 million (US\$ 3,550.45), most of it to housing, livelihoods, and roads and bridges, which combined represented more than 70% of the damages in terms of value.

Public service infrastructure and equipment of hospitals and education centers were also severely damaged and are still not fully operational.

Based on the Rapid Damage Needs Assessment (RDNA) results, restoration works underway, and discussions with the GoJ&K, the project will focus on restoring critical infrastructure using international best practices on resilient infrastructure. Given the Jammu and Kashmir (erstwhile state)'s vulnerability to both floods and earthquakes, the infrastructure will be designed with upgraded resilient features and will include contingency planning for future disaster events. Therefore, the project aims at both restoring essential services disrupted by the floods and improving the design standard and practices in the Jammu and Kashmir (erstwhile state) to increase resilience.

## 1.2 Project Development Objective<sup>1</sup>

The Project Development Objective (PDO) is to support the recovery and increase disaster resilience in targeted areas of the Jammu and Kashmir (erstwhile state) and increase the capacity of the Jammu and Kashmir (erstwhile state) entities to respond promptly and effectively to an eligible crisis or emergency.

## 1.3 Project Components

The project is comprised of the following seven components:

- 1. Reconstruction and strengthening of critical infrastructure
- 2. Reconstruction of roads and bridges
- 3. Restoration of urban flood management infrastructure
- 4. Strengthening and restoration of livelihoods
- 5. Strengthening disaster risk management capacity
- 6. Contingent Emergency Response
- 7. Implementation Support.

### 1.4 Sub-Project Background

The component 2 of "Jhelum and Tawi Flood Disaster Recovery Project" is 'to restore and improve the connectivity disrupted due to the disaster through the reconstruction of damaged roads and bridges. The component will finance and support the reconstruction of about 300 kms. of damaged roads and associated drainage works, retaining walls, breast walls and other structures to increase resilience, designed to be seismic resilient (as per the

<sup>&</sup>lt;sup>1</sup> Source: JTFRP- Environmental & Social Management Framework (ESMF), 2015.

guidelines of the Bureau of Indian Standards) and with regard to topography and hydrology (as per the guidelines of the Indian Roads Congress, the Ministry of Road Transport and Highways), and projected demographic changes.

One of the identified roads undertaken in component 2 for the Improvement and Upgradation is Hajin Ajas via Saidnara Road in the Bandipora district of Kashmir province. The proposed subproject has a total length of 7.3 KMs and traverses Koshum Bagh, Rakhi Hajan, Sadurkote, Saidnara, Gund Prang, Ajas Villages

## 1.5 Sub-Project Description

The Project Road starts from Bridge on Jhelum River near Hajin Village; passing parallel with Jhelum from Ch 0 to Ch 1.190 km on LHS towards north direction. The existing road is 2.75 to 2.95 m single lane and is proposed to be upgraded as 5.5 m intermediate lane. The terrain features are characteristics plain and mixed land use with sections of built-up area and agricultural land. The existing surface of the carriageway is flexible pavement which is in poor condition through the entire road length. The existing formation width varies between 6.5 m to 8 meters. Three minor junctions exist along the Hajin road, the cross-drainage structures include construction of existing 16 box type culverts, 6 hume pipes culverts and development of side drains in built-up sections and wherever required.

### 1.6 Benefits of the Sub-Project

The prime objective of the component is to restore and improve connectivity due to the disaster through reconstruction of the damaged roads, therefore, the major benefit is to get road connectivity throughout year. Like any other transport sub-project, this will also facilitate easy movement of people to their destinations such as schools, hospitals, district administration etc. Since the road is broken at most of the places, therefore, reconstruction will provide all weather road to the locals.

In winter and monsoon road conditions became non-motorable. During the year 2014, connectivity with all villages was cut off for a few weeks. Due to the development of this project stretch, the socio-economic profile of the entire area will enhance. Overall, the project will provide long-term benefits for the local people.

## 1.7 Need for Social Impact Assessment

Social Impact Assessment (SIA) is a tool for anticipating and mitigating the potentially temporary and permanent adverse impacts of projects. It also helps in enhancing the positive outcomes of the sub-project. SIA alerts project planners (public and private bodies) as to the likely social and economic costs and benefits of a proposed project. The knowledge of the

potential costs, when weighed against the likely benefits of a project, helps decision-makers in deciding whether the project should be carried out, with or without modifications, or abandoned completely. The agency carrying out the SIA also develops a mitigation plan to overcome the potential negative impacts on individuals and communities.

The purpose of the SIA is to ascertain whether a project proposed by the developer is truly in the public purpose, and whether the project is located at a site which is least-displacing and requires the bare minimum amount of land.

## 1.8 Need for SIA in Hajin Ajas via Saidnara road Sub-Project

Social Impact Assessment study in the sub-project road was conducted to identify and assess the land requirement for the proposed sub-project besides identifying the temporary and permanent impacts. Hajin Ajas via Saidnara sub-project road is going to be improved and upgraded on existing alignment and the existing RoW is 5.50 meters (annexure 3). No additional land is required for improvement and up-gradation of the road. Though the sub-project does not require private land acquisition, therefore, the Social Impact Assessment was conducted to identify and assess any other impact on the people and communities due to project implementation such as any impact on private assets (of both titleholders and non-titleholders), on the livelihood of people, common property resources or any other type of impacts. Further, it will guide Executing Agency (EA) to prepare a sound Social Management Plan that will provide guidance to the contractor & PIU to manage social issues during execution and post execution.

### 1.9 Objective of Social Impact Assessment

The major objectives of the SIA are given below:

- To gather baseline data for assessment of impacts (both direct and indirect);
- To suggest mitigation measures to effectively manage potential adverse impacts;
- To involve local people in the SIA study and project activities.

## 1.10 Methodology adopted for the SIA

### 1. Defining the Impact area

The first step undertaken was to define the Area of Impact. For defining the project area ((both directly and indirectly), a map that will show the project area was prepared. Besides, field visits to the area were undertaken on Hajin, Saidnara, and Ajas villages on 20.06.2019

and 28.06.2019 to have a better understanding of the geographic limits of the area and the people living there.

#### 2. Identifying the Information/Data Requirements and their Sources

The existing secondary data (census 2011) on impacts likely to follow from the project was reviewed and used for assessment purposes. This has provided disaggregated data according to caste, religion, sex, and other administrative categories, such as persons below the poverty line.

#### 3. Public Consultation

Project related information has been shared with all the concerned stakeholders in Hajin, Saidnara, and Ajas villages on 20.06.2019 and 28.06.2019. This was the first step in developing plans for consultation and participation is to identify stakeholders who will be involved in the consultative processes. Since the sub-project does not envisage acquisition of assets such as land and structures and there is no adverse impact on the livelihood either. Therefore, only people residing along the sub-project road were involved in the consultation and identified as major stakeholder along with PIU, PMU and line departments. The basic questions considered in identifying stakeholders include:

- Who will be directly or indirectly and positively and negatively affected?
- Who are the most vulnerable groups?
- Who might have an interest or feel that they are affected?
- Who supports or opposes the changes that the project will produce?
- Whose opposition could be detrimental to the success of the project?
- Whose cooperation, expertise, or influence would be helpful to the success of the project?

#### 4. Screening

Social Impact Assessment (SIA) process began with screening. Screening was undertaken in the very beginning of the project development. The purpose of screening was to screen out "no significant impacts" from those with significant impacts and get a broad picture of the nature, scale, and magnitude of the issues. This helped in determining the scope of detailed SIA that would be subsequently carried out. The screening results revealed that the project will not have any significant impact. It has been decided that the proposed road will be upgraded within the available RoW and PIU also confirmed that there are no structures either commercial, residential or any CPR in the alignment of the road.

### 5. Carry Out Scoping in the Field

The next step was scoping. Essentially, this involves a visit to the project site, and consultation with all stakeholders. It is important to confirm their understanding of key issues. On-site appreciation of impacts is indispensable for projects that cause displacement on a large scale. The local knowledge can be invaluable in finding alternatives that help avoid or at least reduce the magnitude and severity of adverse impacts.

### 6. Developing a Mitigation Plan

SIA study helps and guides in the preparation of social mitigation and management plan for the envisaged and unanticipated impacts. In this study SMP has been prepared in consultation with the locals, PIU and other stakeholders which will serve as blueprint for managing and mitigating social issues/impacts during execution of the sub-project.

## 1.11 Structure of SIA Report

### **Executive Summary**

- 1. Introduction & Background
- 2. Project Description
- 3. Legal and Regulatory Framework
- 4. Socio-Economic Profile of the Project Impact Area
- 5. Analysis of Alternatives
- 6. Stakeholder's Consultation
- 7. Analysis of Social Impacts
- 8. Mitigation Measures
- 9. Grievance Redressal Mechanism
- 10. Institutional Arrangements
- 11. Monitoring and Evaluation

## 2. Project Description

## 2.1 Description of the Project

The Jammu & Kashmir region owing to its geographical and geo-climatic setting is a multi-hazard prone region that has experienced natural disasters like earthquakes, floods, landslides, avalanches, high-velocity winds, and snowstorms. Most of the project roads in Kashmir Valley fall in plain terrain whereas roads under Jammu Province are passing through hilly terrain. In Kashmir, Floods and flash floods are also frequent. Floods generally occur in the summer when heavy rains are followed by snowmelt. Flooding of the river Jhelum is the main cause of floods in the region. In Jammu province, hill roads are mainly damaged frequently during the beginning of summer due to snowmelt and due to heavy rain. Hill slopes are badly damaged and sliding comes on the roads as there is no such protection work exists towards hill slide slope. Even Jammu Srinagar National Highway is not unturned from it.

In September 2014, the northern region of India experienced torrential monsoon rains in the region causing major flooding and landslides. The continuous spell of rains from September 2nd to 6th, 2014, caused Jhelum and Chenab Rivers as well as many other streams/tributaries to flow above the danger mark. Due to the unprecedented heavy rainfall, the catchment areas particularly the low laying areas were flooded for more than two weeks. As a result, the main tributaries of river Jhelum vis-a-vis Brengi Nallah, Vishav Nallah, Lider Nallah, and Sundran Nallah started overflowing. The water level also increased in the rivers of Chenab and Tawi, both of which the water flowing above normal levels. Due to the rivers overflowing nearly 20 districts were impacted. The total damage and loss caused by the flood is about INR 211,975 million, most of it to housing, livelihoods, and roads and bridges, which combined represented more than 70% of the damages in terms of value. Public service infrastructure and equipment of hospitals and education centres were also severely damaged and are still not fully operational.

The project "Jhelum & Tawi Flood Recovery Project" will focus on restoring critical infrastructure using the international best practice of resilient infrastructure. Given the region's vulnerability to both floods and earthquakes, the infrastructure will be designed with upgraded resilient features and will include contingency planning for future disaster events. Therefore, a study followed by detailed reports on flood management aims at both restoring essential services disrupted by the floods and improving the design standards and practices to increase resilience.

Based on the RDNA results, restoration works underway, and discussions with the Govt. of J&K, "Jhelum and Tawi Flood Disaster Recovery Project (JTFRP)" will focus on restoring critical infrastructure using international best practice on resilient infrastructure. Component 2 of JTFRP is 'to restore and improve the connectivity disrupted due to the disaster through the reconstruction of damaged roads and bridges.

## 2.2 Sub-Project Description

The Project Road starts from Bridge on Jhelum River near Hajin Village; passing parallel with Jhelum from Ch 0 to Ch 1.190 km on LHS towards north direction. The existing road is 2.75 to 2.95 m single lane and is proposed to be upgraded as 5.5 m intermediate lane. The terrain features are characteristics plain and mixed land use with sections of built-up area and agricultural land. The existing surface of the carriageway is flexible pavement which is in poor condition through the entire road length. The existing formation width varies between 6.5 m to 8 meters. Three minor junctions exist along the Hajin road, the cross-drainage structures include construction of existing 16 box type culverts, 6 hume pipes culverts and development of side drains in built-up sections and wherever required.

It is an MDR category road having the moderate intensity of commercial vehicles. Existing Pavement consists of GSB, WBM (GR-II & GR III), OGPC. The existing BT surface is fully dilapidated; gravels has come out on the top surface at different stretches in patches. Somewhere bituminous patches are executed to maintain the road motorable. The average existing carriageway width varies from 2.75 m to 2.95 m. though traffic intensity demands intermediate lane criteria in the year 2026 and under this situation, widening is required but due to the non-availability of sufficient ROW, the proposal of concentric widening is taken under consideration upto a carriageway width of the intermediate lane.

### 2.3 Project Location

Project Road takes off from Bridge on Jhelum River near Hajin Village; passing parallel with Jhelum upto 1.190 km on LHS and another water stream joined on RHS of the project road upto Ch 6.068 Km, thereafter it divided into two parts; From Ch 6.322 Km to Ch 6.940 Km, the project road is under a corridor of low-lying area (water logged) and finally end at Ch 7.187 Km (Design Ch 7.186 Km) on Bandipora Sambal Road.

GIS Map of the Proposed Sub-project of Improvement & Up-Gradation of Hajin-Ajas Via Saidnara Road in District Bandipora has been annexed in annexure 2.

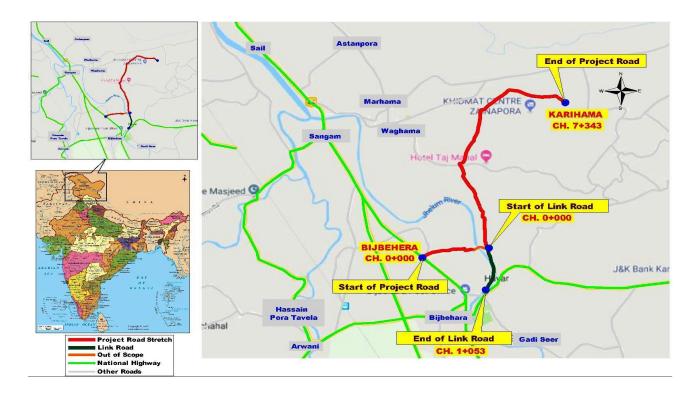


Figure 1: Overview of Proposed Hajin Ajas via Saidnara Road Sub Project

## 2.4 Details of the Existing Project Road

Project Road takes off from Bridge on Jhelum River near Hajin Village; passing parallel with Jhelum upto 1.190 km on LHS and another water stream joined on RHS of the project road upto Ch 6.068 Km, thereafter it divided into two parts; From Ch 6.322 Km to Ch 6.940 Km, the project road is under a corridor of low lying area (water logged) and finally end at Ch 7.187 Km (Design Ch 7.186 Km) on Bandipora Sambal Road.

The Road is entirely falling under plain terrain. It is an MDR category road having the moderate intensity of commercial vehicles. Existing Pavement consists of GSB, WBM (GR-II & GR III), OGPC. The existing BT surface is fully dilapidated; gravels are come out on the top surface at different stretches in patches. Somewhere Bituminous patches are executed to maintain the road motorable. The average existing carriageway width varies from 2.75 m to 2.95 m. though traffic intensity demands intermediate lane criteria in the year 2026 and under this situation, widening is required but due to the non-availability of ROW, the proposal of concentric widening is taken under consideration upto a carriageway width of the intermediate lane. There is no major diversion of traffic movement observed so far and the CTVC count conducted at Ch 3.200 Km (single homogeneous section). From Ch 0 Km to 7.187 Km (Design Ch 7.186 Km), intermediate lane road proposed as traffic intensity demands it in the year 2026. Present ADT, CVPD & PCU of the project road is 1083, 244 & 1420 respectively.

Besides that, protection work is required to prevent soil erosion where road passing closely or adjacent with low Lying area or river Jhelum, Low Lying area and parallel water stream.

#### 2.4.1 The embankment, Carriageway, and Shoulder

The average width of the existing carriageway varies from 2.75 m to 2.95 m with an average shoulder width of 0.85 m resulting in the average roadway width of 6.5 m to 8 m. From Ch 0.0 Km to Ch 4.00 Km, there is no difference between OGL & FRL due to the built-up section, from Ch 4.00 Km to Ch.7.187 Km (Design Ch 7.186 Km) embankment height varies from 1.5 m to 2.5 m. Even in the year 2014, the project road was not submerged.

#### 2.4.2 Horizontal and vertical alignment

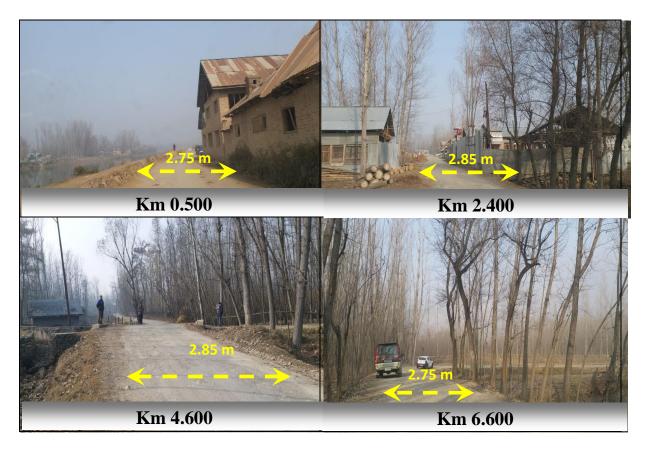
Mostly the road runs in plain terrain and the existing alignment is fair. The existing vertical alignment follows in plain type.

#### 2.4.3 Pavement Condition

The existing pavement is an entirely flexible type having a different thickness as reflected from pavement investigation are in not in fair condition, Longitudinal, Crocodile Cracks, Rutting, Edge Failure, Ravelling, Potholes are significantly visualized. From Ch 0.0 Km to Ch 1.00 Km and Ch 5.0 Km to Ch 7.0 Km BT surface is mostly dilapidated; other than broken flexible type pavement exists in patches. work. Milling is to be done for the top bituminous layer for a thickness of 50 mm and thereafter after a provision of tack coat, BM/DBM & BC of required thickness is to be laid only from Ch 0.0 Km to 7.187 Km (Design Ch 7.186 Km).

#### 2.4.4 Cross Drainage Structures

There are only 13 nos of Culverts exists out of which 4 nos are SC and 9 nos are of HP culverts which need to be demolished and reconstructed.



### 2.4.5 Low Lying Areas

Project Road starts from Bridge on Jhelum River near Hajin Village; passing parallel with Jhelum from Ch 0 to Ch 1.190 km on LHS towards north direction. After 1.190 Km from stating of the project road, Jhelum flows parallel on LHS upto Ch 3.600 m, another water stream joining on RHS of the project road upto Ch 6.068 Km, thereafter it divided into two parts; flows parallel on both side of the Hajin Ajas via Siadnara Road and continued upto Ch 6.564 Km. From Ch 6.322 Km to Ch 6.940 Km, the project road is under a corridor of low-Lying area (water logged) and finally terminated at Ch 7.187 Km (Design Ch 7.186 Km) on Bandipora Sambal Road. Details are shown in table 1.

Table 1: Details of Low Lying Area

Sl. No.	Starting Km	Ending Km	Length (m)	Side
1	6.632	6.940	308	Both Side
	Total		308	

### 2.4.6 Existing Retaining wall

Along the project road retaining wall exists in the following chainages as described in table 2 below.

**Table 2: Details of Existing Protective Works** 

Sl. No.	Starting Km	Ending Km	Length (m)	Side
1	2.818	2.935	117	RHS
2	4.078	4.120	42	RHS
3	4.227	4.319	92	LHS
4	4.651	5.044	393	LHS
5	6.998	7.055	57	RHS
	Total		701	

### 2.4.7 Existing Drain

There are roadside habitations, market areas beside the project road from Ch 0.000 Km to Ch 4.800 Km. Existing Drains are in good condition. Details of existing drains are shown below.

Table 3: Details of existing drain

Sl. No.	Chainag	e (km)	Length	Side	Type of Drain
	From	То	(km)		<b>71</b>
1	3+272	3+335	63	LHS	Open
2	4+037	4+060	23	LHS	Open

#### 2.4.8 Existing Pavement Composition

The said road is a very old road that was initially constructed not based on the traffic intensity. Afterward, several up-gradation of works of different specification has been undertaken over the road. Specification adopted for such maintenance widely varies from year to year as well as from stretches to stretches. So the road section does not have a homogeneous crust. Trial Pit Investigation has been conducted for detailing pavement composition at different locations and on average following composition is found as existing hard crust. The average pavement thickness is 381 mm. Based on the traffic density the thickness of the existing pavement considers for design by IIT PAVE.

#### From Ch 0.0 Km to 7.187 Km (Design Ch 7.187 Km)

Total thickness of hard crust 200 mm – 500 mm existing crust comprises of:

GSB consists of compacted Metal Soling materials – 110 mm to 320 mm thick (average 233 mm), partly disintegrated base course with WBM materials - 40 mm to 120 mm thick (average 76 mm) and Bituminous/ Binder course- varying from 30 mm to 140 mm thick (average 73 mm).

**Table 4: Details of Existing Pavement Composition** 

		Thickness (mm)				
Location	Description of Layers	Individual (mm)	Surface (Bituminous) in mm	Base Course in mm	Sub- Base Course in mm	Total
	Bituminous	40				
0.0 Km / TP1	WBM	50	40	50	200	290
(R/S)	Metal Soling	70	10	30	200	270
	Soling	130				
	Bituminous	40				
0.5 Km / TP1 (L/S)	WBM	30	40	30	100	170
	Metal Soling	10				
	Bituminous	60				430
1.0 Km / TP3	WBM	80	60 80	00	290	
(L/S)	Metal Soling	130		80	290	
	Soling	160				
	Bituminous	50				
1.5 Km / TP4 (R/S)	WBM	40	50	40	110	200
	Soling	110				
	Bituminous	60				
2.0 Km / TP5	WBM	90	60	00	220	470
(R/S)	Metal Soling	150	60	90	320	4/U
	Soling	170				290 290 200 200 470
	Bituminous	70	70	60	170	300

		Thickness (mm)				
Location	Description of Layers	Individual (mm)	Surface (Bituminous) in mm	Base Course in mm	Sub- Base Course in mm	Total
2.5 Km / TP6	WBM	60				
(L/S)	Soling	170				
	Bituminous	90				
3.0 Km / TP7 (L/S)	WBM	80	90	80	230	400
	Soling	230				
	Bituminous	120				
3.5 Km / TP8 (R/S)	WBM	70	120	70	170	360
( 1 - 5	Soling	170				
	Bituminous	140				
4.0 Km / TP9 (L/S)	WBM	80	140	80	190	410
(2/0)	Soling	190				
	Bituminous	60				
4.5 Km / TP10	WBM	90			222	200
(R/S)	Metal Soling	100	60	90	230	380
	Soling	130				
	Bituminous	90				
5.0 Km / TP11	WBM	100		400	000	400
(R/S)	Metal Soling	120	90	100	290	480
	Soling	170				
	Bituminous	40				
5.5 Km/TP12	WBM	60			675	676
(L/S)	Metal Soling	110	40	60	250	350
	Soling	140				

		Thickness (mm)				
Location	Description of Layers	Individual (mm)	Surface (Bituminous) in mm	Base Course in mm	Sub- Base Course in mm	Total
	Bituminous	30				
6.0 Km/TP13	WBM	70	30	70	300	Total 400 410 500
(L/S)	Metal Soling	130	30	70	300 400	
	Soling	170				
	Bituminous	70				
6.5 Km/TP14	WBM	100	70	100	240	410
(R/S)	Metal Soling 40	40	70	100		
	Soling	200				
	Bituminous	80				
7 V /TD15 (D /C)	WBM	120	80	120		<b>500</b>
7. Km/TP15 (R/S)	Metal Soling	60	80	120	300	500
	Soling	240				
Average Thicknes	s from Km 0.0 to	Km 7.187	69	75	219	
Minimum Thickness from Km 0.0 to Km 7.187			30	40	100	160
Maximum Thickness Km 0.0 to Km 7.187			140	120	320	580

### 2.4.9 RoW Details of Sub-Project Road

Project Manager (Transport, Kashmir division), ERA, JTFRP vide letter no ERA/PMT/20/1/22 dated 07.09.2020 has provided an encumbrance free certificate which confirms that the existing alignment is devoid of any structures and there is no requirement of land all up gradation work will be done in the available RoW which is 5.50 m (annexure 3). The letter issued by the Project Manager, also refers the certificate (letter no. CE/RBK/HD/7165, dated 14.06.2019) issued by the Chief Engineer, PWD(R&B) department in which it has been mentioned that the existing RoW in the sub-project road is 5.50 meters (annexure 4).

### 2.4.10 Major Utilities Along the Existing Road

A detailed road inventory survey was carried out at 100 m intervals mainly the proposed alignment. Detailed information was collected and utilized for planning, design, and cost estimate.

An inventory of the project road has been carried out through dimensional measurement and visual inspection. Features like chainage, terrain and land-use, the height of fill or depth of cut, the width of pavement and shoulders, important road junctions and geometric deficiencies, utilities, etc., were recorded.

These surveys were carried out by visual observation supplemented with sample measurements using tape etc. The road inventory has been referenced to the existing km posts established along the roadside.

## 2.5 Proposed Activities (Improvement & Upgradation)

Table 5: Overview of the proposed works in the sub-project road

S. No.	Description of item	Details
1	Road length	Existing – 7.192 Km. Design – 7.187 km
2	Road Configuration	Existing: 2.75 m to 2.95 m wide carriageway (varies) Propose: 5.5 m (Intermediate Lane)
3	Terrain	Plain
4	Land use pattern	Mixed land use between Built up, Agricultural and Commercial
5	Existing Surface of carriageway	Flexible pavement in a dilapidated condition for the entire length.
7	Existing Formation width	6.5 m - 8.0 m (varies)
8	Right of Way (ROW)	5.50 meters
9	Pavement Condition	Poor
10		BC-40 mm;
	thickness	DBM-75 mm;
		WMM-150 mm;
		GSB-150 mm
11	Design CBR	6% (80 percentile at soaked condition)
12	Junctions	Minor- 03
13	Traffic	ADT-1080, CVPD 295, PCU 1440 & MSA 6.92
14	Cross drainage structures	Culvert- 13 (SC 4, HP 9)

### 2.5.1 Carriageway Width

Settlement

In general, the proposed cross-section comprises of 5.5 m wide carriageway with both sides 1.0 m wide earthen shoulder propose from Ch 0.0 Km to Ch 7.187 Km. The camber on either side of the carriageway and hard shoulder is 2.5 % & on the earthen shoulder is 3.5% respectively. Information not available regarding existing ROW, concentric widening schedule prepare based on the site inspection and present lane configuration. Based on the available width, cross-section schedule at different chainages are mention below:-

**Table 6: Details of TCS schedule** 

From	То	Length (m)	TCS Type
0+000	0+025	25	Type 3
0+025	0+210	185	Type 3
0+210	1+175	965	Type 1
1+175	1+305	130	Type 2
1+305	1+410	105	Type 1
1+410	1+550	140	Type 2
1+550	2+815	1265	Type 1
2+815	2+930	115	Type 2
2+930	3+420	490	Type 1
3+420	3+960	540	Type 4
3+960	3+985	25	Type 5
3+985	4+090	105	Type 4
4+090	4+130	40	Type 2
4+130	4+250	120	Type 1
4+250	4+320	70	Type 2
4+320	4+390	70	Type 1
4+390	4+470	80	Type 2

4+470	4+580	110	Type 1
4+580	4+650	70	Type 2
4+650	4+690	40	Type 3
4+690	4+730	40	Type 2
4+730	5+200	470	Type 1
5+200	5+260	60	Type 2
5+260	5+685	425	Type 1
5+685	5+780	95	Type 2
5+780	5+890	110	Type 1
5+890	5+950	60	Type 2
5+950	6+070	120	Type 1
6+070	6+520	450	Type 2
6+520	6+970	450	Type 1
6+970	7+186	216	Type 2

### 2.5.2 Horizontal and vertical alignment

Existing alignment is followed to widen and strengthen the existing road and it is found that mostly the required average design speed of 40 km/hour is maintained. The existing carriageway will be provided with the required grade after making the provision for a profile corrective course with proper two-directional cambers over the existing carriageway surface.

### 2.5.3 Improvement of Sight Distance

Improvement of sight distance on the proposed alignment has been taken care of while designing the alignment. However, a necessary road sign has to be provided where speed is restricted wherever required.

### 2.5.4 Improvement of Cross Drainage Structures

There are only 16 nos of Culverts exists out of which 6 nos are SC and 10 nos are of HP culverts which need to be demolished and reconstructed. The details are given in Table 7.

**Table 7: Details of proposed culverts** 

Sl.	Existing Structure					Prop	osed Structi	ure
No .	Chainag e (Km)	Type of Structur e	Span / Dia (m)	Total Widt h (m)	Conditio n	Proposa 1	Type of Structur e	Span / Dia (m)
1	1+125	SC	1x2.5	3.05	Poor	R&NC	ВС	1x3x3
2	1+280	SC	1x1.2	3.05	Poor	R&NC	ВС	1x2x2
3	1+670	SC	1x1.0	3.00	Poor	R&NC	ВС	1x2x2
4	3+327	SC	1x2.8	3.10	Poor	R&NC	BC	1x3x3
5	3+647	НР	1x0.3	5.20	Poor	R&NC	НР	1x1.2 0
6	4+030	НР	1x0.3	5.25	Poor	R&NC	НР	1x1.2 0
7	4+577	НР	1x0.6	5.10	Poor	R&NC	НР	1x1.2 0
8	4+890	НР	1x0.3	5.05	Poor	R&NC	НР	1x1.2 0
9	5+030	НР	1x0.3	5.15	Poor	R&NC	НР	1x1.2 0
10	5+340	НР	Chocke d	5.10	Poor	R&NC	НР	1x1.2 0
11	5+420	НР	Chocke d	5.10	Poor	R&NC	НР	1x1.2 0
12	5+580	НР	Chocke d	5.10	Poor	R&NC	НР	1x1.2 0
13	5+685	НР	Chocke d	5.10	Poor	R&NC	НР	1x1.2 0
14	6+063	SC	1x5.8	3.10	Poor	R&NC	ВС	1x6x4
15	6+710	НР	1x0.9	5.25	Poor	R&NC	НР	1x1.2 0
16	6+975	SC	1x3.0	4.25	Poor	R&NC	ВС	1x3x3

2.5.5 Drainage Works

There are roadside habitations, the market area beside the project road from Ch 0.000 Km to Ch 4.800 Km 670m cover drain provided along these stretches.

#### 2.5.6 Protective works of the Embankment

The project road is either passing parallel with River Jhelum and Water Stream or falling under the corridor of Low-Lying Area (Water logged) from Ch 0.000 km to Km 6.940. In some stretches, the water body is too near where Protective work (Retaining wall) is required. Chainage wise Details are shown in Table 8.

**Table 8: List of Protective Work** 

Sl. No.	Starting Km	Ending Km	Length (m)	Side
1	0.730	0.890	160	RHS
2	3.23	3.315	85	RHS
3	3.630	3.690	60	RHS
4	3.930	4.050	120	RHS
5	5.050	5.350	100	RHS
6	6.350	6.530	180	LHS
	Total		705	

#### 2.5.7 Pavement Design

After doing the pavement investigation and pavement condition survey, it has been studied thoroughly. After that pavement design has been done as per the following considerations:

- Rehabilitation on existing pavement
- Reconstruction of existing pavement

After Task 1 and Task 2, realignment, as well as the provision of rigid pavements, have been ruled out. Hence, under Task 3 of this project road only following pavement design has been studied:

- Rehabilitation on existing pavement
- Reconstruction of existing pavement

The consultants have worked out the designs for all the above cases based on results of survey/investigations about traffic, axle load spectrum, pavement condition, and strength,

subgrade/material properties, etc. The design life adopted in the analysis is 15 years for flexible pavement from the date of opening the road to traffic. Pavement design for various cases has been illustrated in the following paragraphs.

#### 2.5.8 Rehabilitation of existing pavement

Strengthening design involves prudent engineering judgment and decision-making in analyzing and using the various investigations data for the purpose. It may be mentioned that deflection testing (generally use for strengthening design) is primarily related to traffic-associated fatigue cracking of a pavement. If the pavement is exhibiting deformation / without bitumen top surface / poor condition of the bituminous surface, it will be necessary to sample and test/observe component layers before deciding on an overlay/strengthening.

Design of flexible pavement for new construction has been done following "Tentative Guidelines for the Design of Flexible Pavement" (IRC: 37-2018).

The following Survey has been conducted and procedure followed for design and construction:

- 1. Conducted the Traffic Study and based on PCU, lane configuration finalized. In case of land constraint, lane configuration has been restricted Up to the availability of space between properties of both sides.
- 2. In case land availability allows providing required lane configuration to upgrade (widening), rehabilitation and reconstruction considered for these stretches. For the widening portion, mostly concentric widening is considered. After both edge trimming, prepare the original ground for construction of embankment, followed by sub-grade, GSB, WMM, DBM, and BC.
- 3. Raising of Existing Carriageway is not done where roadside establishment exists. On those stretches, reconstruction has been proposed. Where lane configuration is not feasible for an upgrade, the carriageway has been restricted Up to the availability of space between properties of both sides.
- 4. The existing condition of the road is poor. Hence, the BBD test was not carried out. Existing bituminous layer to be dismantled and re-compaction to be done after dismantling bituminous layer. Re-compacted level shall be compared for design level and WBM/GSB (depending upon the level difference of FRL and level after re-compaction). Existing Base and Sub-base layers are generally more than the required thickness than that of new pavement. Widening portion to be constructed from the subgrade as per the

- design. The top layer of BC and DBM shall be laid simultaneously for the existing carriageway as well as the widening portion.
- 5. Axle Load survey was conducted to find out VDF. Wherever Axle load survey not done standard VDF value considered based on terrain and traffic as per IRC:37-2018.

Soil samples were collected from the sub-grade level after excavating the existing pavement thickness at the selected locations along the carriage way edge. Soil Samples taken to the laboratory were tested for L.L., P.L., and Gradation. Remoulded soil samples were thereafter made by compacting at the maximum dry density and OMC. The samples were then soaked for 4 days and then tested for CBR value. For each location, three such remoulded samples were prepared and tested for soaked CBR, and the average value of CBR at each location was determined. The CBR value varies between 5.1% & 7.1 %. Based on the Traffic density, the whole stretch has one homogeneous section. As per Clause 6.2.2 of IRC 37-2018, the 80th percentile value of sub-grade CBR (5.9%) consider for pavement design. Design traffic is 6.33 MSA for 15 years design period. Mostly ML, CL, CI, SM, CL-CI classified soil exists on the stretch from Ch 0.0 Km to Ch 7.187 Km (Design Ch 7.186 Km). The summary of Test Results is presented herewith in Table 5.4.

Design of flexible pavement for new construction has been done following "Tentative Guidelines for the Design of Flexible Pavement" (IRC: 37-2018). This is described as following.

1. Calculation of Design Traffic in terms of MSA at Section I (Ch 0.0 Km to Ch 7.187 Km (Design Ch 7.187 Km) (Design Ch 7.186 Km)

### **COMPUTATION OF DESIGN TRAFFIC**

[Vide Clause 4.6.1 of IRC:37-2018]

The Traffic in the year of completion (A) is estimated using the following formula:

$$A = P(1+r)^{x} = \frac{244 \times (1+}{0.06})^{x} = 259$$
Where:
$$P = \frac{\text{CVPD as per traffic census}}{\text{report.}} = 244$$
The number of years between
$$x = \text{the last count and the year of } = 1$$

$$\text{completion of construction.}$$

The **design traffic** in terms of the cumulative number of standard axles to be carried during the design life of the road has been computed using the following equation : As per clause 4.6.1 of IRC:37-2018

$$N_{Des} = \frac{365 \times [(1+r)^n - 1]}{r} \times A \times D \times F$$

$$Where: N_{Des} = \frac{Cumulative \ number \ of \ standard \ axles \ to \ be \ catered \ for \ during \ the \ design \ period \ of \ 'n' \ years}$$

$$Initial \ traffic \ in \ the \ year \ of \ completion \ of \ construction \ in \ A = terms \ of \ the \ number \ of \ = 259 \ Commercial \ Vehicles \ Per \ Day \ (CVPD).$$

$$Lane \ Distribution \ Factor \ (LDF).$$

$$D = \begin{cases} For & Intermediate-lane \ carriageway \end{cases}$$

$$F = Vehicle \ Damage \ Factor \ (VDF). = 3.833$$

$$n = Design \ life \ in \ years. = 15$$

$$r = \begin{cases} Annual \ growth \ rate \ of \ commercial \ vehicles \ (6\%) \ in \ decimal. = 0.06$$

Therefore:

$$N_{Des} = \frac{365 \times [(1 + 0.06)^{15 - 1}]}{0.06} \times 259 \times 0.75$$

$$= 6325582 ESA$$

$$= 6.33 MSA$$

#### 2. Calculation of Design CBR

The CBR value varies between 5.1 % & 7.1 %. Mostly ML, CL, CI, CL-CI & SM classified soil exists on the stretch from Ch 0.0 Km to Ch 7.187 Km (Design Ch 7.186 Km). Based on the Traffic density, the whole stretch is designed as a single homogeneous section. As per Clause 6.2.2 of IRC 37-2018, the 80th percentile value of sub-grade CBR (5.9%) consider for the whole stretch as design traffic is 6.33 MSA for 15 years design period.

### 3. Determination of Pavement Layers:

The Pavement composition of different layers has been done as per IRC 37:2018 and by IITPAVE. 75 mm thickness of WMM is to be used in uniformly as profile corrective course due to scarifying of the existing wearing course from Ch 0.0 Km to Km 7.187 Km (Design Ch 7.186 Km). The Detail calculation enclosed in Annexure V of DPR as Summary of the pavement composition mentioned below: -

Pavement design has been done using Design Traffic as 6.33 MSA & 80th percentile CBR as 5.9% adopted as per clause 6.2.2 of IRC 37:2018. For the calculation the following data from IRC-37:2018 are used in base & sub-base layers.

Recommended Pavement Layers as per Flexible Pavement Design Using IIT Pave Software (IRC:37-2018).

Table 9: Recommended pavement layers as per flexible pavement design

Recommended Pavement Layers as per Flexible Pavement Design Using IIT Pave Software (IRC:37-2018) For Widening, New Construction, and Reconstruction

Layers	Thickness		Design Life Considered	Design Traffic	Design CBR
BC (VG-10)	30 mm	Total Bituminous =	15 years	6.33 MSA	
DBM (VG-10)	50 mm	80 mm	•		
Granular Base	170 mm	n Total Granular =	15 years	6.33 MSA	5.9%
Granular Sub- base	200 mm	370 mm	J		

Adopted Pavement Layers Over Existing Pavement					
Layers		Thickness			
Proposed Layers	BC (VG-10)	30 mm	Total Bituminous Layer =		
	DBM (VG-10)	50 mm	80 mm		
	Existing Bituminous Course shall be dismantled, re-compacted and profile correction shall be done by WMM upto thickness of 170mm. More than 170mm thickness PCC shall be done by GSB.				

### 2.5.9 Traffic Safety and Other Appurtenances

Following road furniture and miscellaneous items have been designed keeping safety aspects in mind.

### I. Road Markings

Road Markings on the carriageway and the objects within and adjacent to the roadway are used as a means of guiding and controlling the traffic. They promote road safety and ensure the smooth flow of traffic in the required paths of travel.

The location and type of marking lines, material, and the colour is followed using IRC: 35-1997 – "Code of Practice for Road Markings".

The road markings were carefully planned on carriageways, intersections, and bridge locations.

### II. Road Signs

Road signs were planned to supply information, to regulate traffic by imparting messages to the drivers. The type, locations, sizes were planned using IRC: 67-2012 "Code of Practice for Road Sign".

#### III. Delineators

The role of delineators is to provide visual assistance to the driver about the alignment of the road ahead, especially at night. Reflectors are used on the delineators for better night visibility. IRC: 79-1981 "Recommended Practice for Road Delineators" was followed to plan location details. Two types of road delineators were planned i.e. hazard markers and object markers. Hazard markers are to define obstructions like guardrails, and abutments adjacent to the carriageway, for instance at culverts and bridges. Object markers are used to indicate hazards and obstructions within the vehicle flow path, at channeling islands close to intersections.

### IV. Crash Barrier

W Type Metal crash barriers are proposed/ provided for the safety of the traffic on the stretches on approaches of bridges. It is also proposed on the curves for the safety of traffic irrespective of embankment height as per NHAI Circular (NHAI/PH-II/NHDP/ADB/GM (NS)-I dated May 19, 2004).

### V. Parapet Wall

Parapet walls are provided along the edge of the shoulders at the valley side throughout the project stretch excluding the settlement areas. These are provided to prevent the vehicles from toppling over.

# 3. Legal and Regulatory Framework

This section deals with the laws, regulations, and policies, of the Government of India, the State Government, and the World Bank, related to environmental and social issues. Only the laws, regulations, and policies relevant to the project are discussed here. This section needs to be updated as and when new laws, regulations, and policies are made and enforced or the existing ones are revised.

# 3.1 Operational Policies of World Bank

The safeguard policies, the triggers for each policy, as well as the status of their relevancy for the proposed project are presented in the table below:

Table 10: World Bank's Operational Policies

Operational Policy	Key Features	Applicability
Involuntary Resettlement (OP 4.12)	Physical relocation and land loss resulting in: (i) relocation or loss of shelter; (ii) loss of assets or access to assets; (iii) loss of income sources or means of livelihood, whether or not the affected people must move to another location.	Not Applicable  The sub-project has no impact on any private asset.
Indigenous Peoples (OP 4.10)	If there are indigenous peoples in the project area, and potential adverse impacts on indigenous peoples are anticipated, and indigenous peoples are among the intended beneficiaries.	Not Applicable  The sub-project does not adversely impact any Schedule caste/tribe population.
Physical Cultural Resources (OP 4.11)	The policy is triggered by projects which, prima facie, entail the risk of damaging cultural property (e.g., any project that includes large-scale excavations, movement of earth, surface environmental changes or demolition).	Not applicable  No impact on any cultural resources.

### 3.2 World Bank's Environment Health and Safety Guidelines

The Environmental, Health, and Safety (EHS) Guidelines are technical reference documents with general and industry-specific examples of Good International Industry Practice (GIIP). The EHS Guidelines contain the performance levels and measures that are generally considered to be achievable in new facilities by existing technology at reasonable costs.

Application of the EHS Guidelines to existing facilities may involve the establishment of site-specific targets, with an appropriate timetable for achieving them. The applicability of the EHS Guidelines should be tailored to the hazards and risks that may occur in the sub-project on the basis during pre-construction, construction, and operation phases.

# 3.3 National & U. T's Policies

Table 11: Applicable National and U.T.'s Policies

S.No.	Acts/Policies/Rules	Relevance to this project	Applicability in the sub-project
1	The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013 The old act is Land Acquisition Act, 1894 and it is replaced by the new Act RFCTLARR,2013	The Act has provisions to provide fair compensation to those whose land is taken away, brings transparency to the process of acquisition of land to set up factories or buildings, infrastructural projects, and assures rehabilitation of those affected.	Not Applicable. This sub-project does not impact private assets.
2	State Land Acquisition Act 1990 (1934 AD)	The State Land Acquisition Act1990 (1934 AD) is in force in the state of Jammu and Kashmir. This Act provides the legal framework for land acquisition for public purposes in J&K. It enables the State Government to acquire private lands for a public purpose, and seeks to ensure that no person is deprived of land except under the Act.	Not Applicable. This sub-project does not impact private assets.

S.No.	Acts/Policies/Rules	Relevance to this project	Applicability in the sub-project
3	Jammu and Kashmir Common Lands (Regulation) Act, 1956	An Act to regulate the rights in common lands. Provide relief to the user of the lands, used for common purposes like roads, streets, lanes, pathways, water channels, drains, wells, tanks, or any other source of water supply to the villagers in general. Provision for the prohibition of encroachments over such common lands and public places and eviction thereof and in case of encroachments, to restore the rights of the users. Provision for assigning land for extension of "Village Abadi", if existing land is inadequate for the habitation of the villagers at any point of time.	There is no requirement of common land for this sub-project. Therefore, it's not applicable.

# 3.4 Other Central and State acts which may be applicable in the Subproject:

- Minimum Wages Act, 1948
- Contract Labor Act, 1970
- The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013
- The Bonded Labor System (Abolition) Act, 1976
- Child Labor (Prohibition and Regulation) Act 1996 along with Rules, 1988
- Children (Pledging of Labor) Act, 1933 (as amended in 2002)
- The Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Act, 1995
- The Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Rules, 1996
- Untouchability Offences Act, 1955
- The Scheduled Castes and the Scheduled Tribes (Prevention of Atrocities) Act,
   1989
- The Scheduled Castes and the Scheduled Tribes (Prevention of Atrocities) Rules, 1995

- Disaster Management Act 2005: specifies that while providing compensation and relief to victims of disasters there shall be no discrimination on the grounds of sex, caste, community, descent or religion.
- The Jammu and Kashmir Protection of Human Rights Act 1997
- The Jammu and Kashmir Natural Calamities Destroyed Areas Improvement Act, 1955:
- The Jammu and Kashmir Right to Information Act 2004
- Backward Classes Commission Act, 1997
- Persons with Disabilities Act, 1998
- J&K Reservation Act, 2004

# 4. Socio-Economic Profile of the Project Impact Area<sup>2</sup>

# 4.1 Physical features

Bandipora is newly carved District from erstwhile Baramulla district. The district is surrounded by Himalayan Mountains having Kargil District on north, Kupwara in West, Baramulla in south and Ganderbal in east. The district is a hilly & backward district with moderate climate. District Headquarters Bandipora is well connected by road. Bandipora is about 47 KM by road to Srinagar, (Capital of Jammu & Kashmir).

### 4.2 Location and size

The District Bandipore lies between 34.4167N latitude to 74.6500E longitude and is one of the districts of oval shaped Kashmir valley on the height of 1,581 to 1,578 meters. The total area of the district is 345 sq. km and it ranks 14th in the stated in the State.

# 4.3 Physiography

Bandipore is situated on the banks of Wular, the largest fresh-water lake in Asia which is home to a lot of migratory birds. Inadvertent dumping of the polluted river waters and sewage affluence has led to a pandemic growth of algae in the waters of the Wular which is threatening the lake and its supporting life itself. The main source of pollution to Wular Lake is Jhelum River. Jhelum River carries all the wastes from Srinagar city and other surrounding areas and deposits it in Wular Lake. Bandipore is also a stepping town to the higher reaches of Razdan, Gurez and Tragbal.

The famous Lolab valley in Kupwara district is adjacent to the Bandipore. It is just 30 km from Bandipore via Aaloosa village. Once this road is upgraded it will become a lifeline of the Lolab valley and it will provide an additional route to the Kupwara district. Bandipore was the connecting link between North India and Central Asia via the Silk Road. At Pazalpora village there was a custom and immigration department which is now a forest check post. There are strong links between Skardu, Gurez and Bandipore.

### 4.4 Drainage

The valley of Kashmir forms an important geographical region known as "The Lesser Himalayas" or "The Jhelum Valley" while as the other two regions of the state are "The Outer Himalayas" or "The Southern Mountain Region" (Jammu Province) and "The Inner Himalayas"

<sup>&</sup>lt;sup>2</sup> Source: https://censusindia.gov.in/2011census/dchb/0109\_PART\_B\_DCHB\_BANDIPORE.pdf

or "the Indus valley (Ladakh and frontier areas). Famous for its rivers, singing streams and beautiful lakes, the valley of Kashmir is interwoven with rivers, streams, Nallahs, springs and underground water resources. The major river Jhelum of the valley carries the waters of host of streams that flow down from the bordering mountains. Main sources which provide water to river Jhelum are Nallah Bringi, Arapathol, Lidder Arapal, Harwan, Sind, Arin, Madhumati, Pohsu,Viji-Dakil on its left side by Vishav (river), Romsi, Ara Sarsara, Rammunshi, Dodhganga, Sukhnag, Feroze Pora nallah and Ningal. It is the principal river of the valley called locally "Veth" the name Jhelum it has got from the town in the Punjab beneath which it flows

#### 4.5 Climate

The climate of the district is more or less similar to that of other districts of the valley. Areas situated at higher altitudes experience severe cold for major part of the year and are not accessible for more than three months. The district has more temperate climate in summer than in Srinagar. Owing to the proximity of Pir Panjal ranges the three sides of the district are enclosed by high mountains which obstruct the monsoon winds to reach the district and air from Punjab does not freely circulate in the area. The district including the other parts of valley has long period of winter.

#### 4.6 Soils

Kashmir valley the heaven on the earth is known for its paddy, saffron, orchards and stately trees are grown on soils. The study of soils as found in the valley assumes a great significance. According to recent soil surveys conducted a wide spread tendency towards acidity has been detected. Green manuring, legume plant cultivation and liming of soils have been advised as the measures to enrich these soils by soil scientists. The soil on the flanks of the river Jhelum is most fertile as it gets periodically renewed and enriched with fresh deposition of slit by recurrent floods. The soils on highlands and Karewas are different at different places and their fertility depends upon the site, nature of soil and altitude of the places. According to the intensive study of the soils conducted in the valley, the bottoms are covered with alluvial soils rich in organic matter and nitrogen content

### 4.7 Flora and Fauna

#### Flora

Floristic wealth found in different parts of the district is the outcome of the environmental complex like physical configuration, altitude, soil and climate variables. The vegetation in the region underwent a tremendous change during the glacial phase of the Pleistocene. During

this period the Pir Panjal Mountain range prevented the southwest monsoon from entering the valley. Thus, broad leaf evergreen species disappear to a large extent and a coniferous type of vegetation grows in abundance. The flora of Kashmir displays a vivid transition from a narrow beet of sub-tropical through temperature, sub-alpine to alpine zones. The marshes swamps and lakes form important habitats in the district and support characteristic halophytic, reed-swamp and hydrophilic vegetation respectively.

#### **Fauna**

The rich water resources in the shape of lakes, springs and streams enable various species of fish to grow in abundance. The charming-side valleys of varied scenery and meadows covered with lovely self-growing wild flowers of all colors attract different kinds of birds during different seasons of the year and thus enrich the bird life in this district. A large variety of birds particularly ducks in large flocks, fly to Kashmir in winter and some of them also breed here.

### 4.8 Population

As per population results of 2011 Census District Bandipore accounts for 392232 persons comprising of 207680 males and 184552 females.

### 4.9 Sex Ratio

According to census 2011, district had a sex ratio of 889 females for every 1000 males

### 4.10 Literacy

Average literacy rate of Bandipora in 2011 were 56.28 compared to 39.01 of 2001. If things are looked out at gender wise, male and female literacy were 66.88 and 44.34 respectively.

### **4.11 Cropping Patterns**

The agriculture system and cropping pattern of the district are largely governed by the terrain, slope nature of soil, the prevailing agro climatic, socio-economic condition and proportion of area under various crops at a point of time is known as cropping pattern.

Table 12: Area under crops (in hectares)

Yea r	Pad dy	Ma ize	Wh eat	Gri m	Oth er	Pul se	Tot al	Fru its	Veget ables	Spi ces	Ot her	Tot al	Fod der	Oil see	Tot al
					Mill ets		Foo d				foo d	foo d		ds	are a
											cro ps				sow n

	20	97	50	00	15	27	17	168	23	910	00	00	200	118	52	217
	08-	33	83			1	58	60	04				74	1	5	00
(	09															

Source: - District Statistical Handbook (2008-09)

### 4.12 Horticulture

Horticulture plays an important role in the development of economy of the district. A major portion of land is under fruit trees among these the most important are 1) Apple 2) Walnut 3) Almond 4) Grapes 5) Pears and 6) Cherry. Horticulture has assumed great importance in the state and makes a handsome product contribution to the state domestic product. An area of 7016 Hectares has been brought under fruit orchards.

# 4.13 Irrigation

Major portion of cultivable land has irrigation facilities. According to the data supplied by Planning Department the gross area irrigated in the year 2008-09 stood at 11890 hectares. Irrigation is mainly provided by canals and only a small portion of land is irrigated by other sources of irrigation.

# 4.14 Animal Husbandry

Livestock rearing is an important occupation of villagers of the valley, folks in general and migratory population in particular. Every effort is made by the Government to provide proper health coverage and high-quality breeding facilities, so as to improve the health quality of animals. Livestock census conducted in the district accounts for below given livestock heads:

Table 13: Livestock and Poultry population as per livestock census (2008-09)

Species	Number of heads/birds
1	2
1. Cattle	113343
2. Buffalo	922
3. Sheep	183300
4. Goats	53170
5. Other (Specify)	0
Total	350735
Poultry Birds	239452

# 4.15 Socio-Economic Profile of Sub-Project villages

The socio-economic profile of the village falling under the proposed sub-project is given below:

**Village Koshum Bagh**-Kosum Bagh is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 719 families residing. The Kosum Bagh village has population of 4965 of which 2625 are males while 2340 are females as per Population Census 2011.

In Kosum Bagh village population of children with age 0-6 is 705 which makes up 14.20 % of total population of village. Average Sex Ratio of Kosum Bagh village is 891 which is higher than Jammu and Kashmir state average of 889. Child Sex Ratio for the Kosum Bagh as per census is 803, lower than Jammu and Kashmir average of 862.

Kosum Bagh village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Kosum Bagh village was 47.28 % compared to 67.16 % of Jammu and Kashmir. In Kosum Bagh Male literacy stands at 56.22 % while female literacy rate was 37.41 %.

In Kosum Bagh village out of total population, 2145 were engaged in work activities. 36.32 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 63.68 % were involved in Marginal activity providing livelihood for less than 6 months. Of 2145 workers engaged in Main Work, 264 were cultivators (owner or coowner) while 166 were Agricultural labourer.

**Village Rakh Hajin**-Rakh Hajin is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 382 families residing. The Rakh Hajin village has population of 2497 of which 1244 are males while 1253 are females as per Population Census 2011.

In Rakh Hajin village population of children with age 0-6 is 339 which makes up 13.58 % of total population of village. Average Sex Ratio of Rakh Hajin village is 1007 which is higher than Jammu and Kashmir state average of 889. Child Sex Ratio for the Rakh Hajin as per census is 904, higher than Jammu and Kashmir average of 862.

Rakh Hajin village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Rakh Hajin village was 47.17% compared to 67.16% of Jammu and Kashmir. In Rakh Hajin Male literacy stands at 56.47% while female literacy rate was 38.10%.

In Rakh Hajin village out of total population, 643 were engaged in work activities. 30.95 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 69.05 % were involved in Marginal activity providing livelihood for less than 6 months.

Of 643 workers engaged in Main Work, 68 were cultivators (owner or co-owner) while 7 were Agricultural labourer.

**Village Sadurkote Pain**-Sadrakote Pain is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 479 families residing. The Sadrakote Pain village has population of 3246 of which 1752 are males while 1494 are females as per Population Census 2011.

In Sadrakote Pain village population of children with age 0-6 is 500 which makes up 15.40 % of total population of village. Average Sex Ratio of Sadrakote Pain village is 853 which is lower than Jammu and Kashmir state average of 889. Child Sex Ratio for the Sadrakote Pain as per census is 730, lower than Jammu and Kashmir average of 862.

Sadrakote Pain village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Sadrakote Pain village was 48.54~% compared to 67.16~% of Jammu and Kashmir. In Sadrakote Pain Male literacy stands at 60.01~% while female literacy rate was 35.46~%.

In Sadrakote Pain village out of total population, 1181 were engaged in work activities. 74.43 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 25.57 % were involved in Marginal activity providing livelihood for less than 6 months. Of 1181 workers engaged in Main Work, 91 were cultivators (owner or co-owner) while 146 were Agricultural labourer.

**Village Sadhunara Hasti khan**-Sadhunara Hasti Khan is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 1260 families residing. The Sadhunara Hasti Khan village has population of 8674 of which 4485 are males while 4189 are females as per Population Census 2011.

In Sadhunara Hasti Khan village population of children with age 0-6 is 1331 which makes up 15.34 % of total population of village. Average Sex Ratio of Sadhunara Hasti Khan village is 934 which is higher than Jammu and Kashmir state average of 889. Child Sex Ratio for the Sadhunara Hasti Khan as per census is 828, lower than Jammu and Kashmir average of 862.

Sadhunara Hasti Khan village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Sadhunara Hasti Khan village was 36.13 % compared to 67.16 % of Jammu and Kashmir. In Sadhunara Hasti Khan Male literacy stands at 47.48 % while female literacy rate was 24.23 %.

In Sadhunara Hasti Khan village out of total population, 3769 were engaged in work activities. 39.88 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 60.12 % were involved in Marginal activity providing livelihood for less than 6 months. Of 3769 workers engaged in Main Work, 684 were cultivators (owner or co-owner) while 165 were Agricultural labourer.

**Village Gund Prang** -Gund Prang is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 557 families residing. The Gund Prang village has population of 3818 of which 2027 are males while 1791 are females as per Population Census 2011.

In Gund Prang village population of children with age 0-6 is 678 which makes up 17.76 % of total population of village. Average Sex Ratio of Gund Prang village is 884 which is lower than Jammu and Kashmir state average of 889. Child Sex Ratio for the Gund Prang as per census is 752, lower than Jammu and Kashmir average of 862.

Gund Prang village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Gund Prang village was 30.06 % compared to 67.16 % of Jammu and Kashmir. In Gund Prang Male literacy stands at 38.54 % while female literacy rate was 20.80 %.

In Gund Prang village out of total population, 1265 were engaged in work activities. 83.56 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 16.44 % were involved in Marginal activity providing livelihood for less than 6 months. Of 1265 workers engaged in Main Work, 288 were cultivators (owner or co-owner) while 299 were Agricultural labourer.

**Village Ajas-** Ajas is a large village located in Sonawari Tehsil of Bandipora district, Jammu and Kashmir with total 1908 families residing. The Ajas village has population of 12961 of which 6726 are males while 6235 are females as per Population Census 2011.

In Ajas village population of children with age 0-6 is 2160 which makes up 16.67 % of total population of village. Average Sex Ratio of Ajas village is 927 which is higher than Jammu and Kashmir state average of 889. Child Sex Ratio for the Ajas as per census is 815, lower than Jammu and Kashmir average of 862.

Ajas village has lower literacy rate compared to Jammu and Kashmir. In 2011, literacy rate of Ajas village was 56.88 % compared to 67.16 % of Jammu and Kashmir. In Ajas Male literacy stands at 68.91 % while female literacy rate was 44.24 %.

In Ajas village out of total population, 3966 were engaged in work activities. 54.77 % of workers describe their work as Main Work (Employment or Earning more than 6 Months) while 45.23 % were involved in Marginal activity providing livelihood for less than 6 months. Of 3966 workers engaged in Main Work, 212 were cultivators (owner or co-owner) while 328 were Agricultural labourer.

# 5. Analysis of Alternatives

For this sub-project, the analysis of alternatives has been made, considering the "with and without project scenarios" which considered the potential social impacts, both positive and negative, of the sub-project.

# 5.1 'Without' and 'With' Project Scenario'

### **5.1.1** 'Without' Project Scenario

Hajin Ajas Road via Siadnara is the only road that gives connectivity to Koshum Bagh, Rakhi Hajan, Sadurkote, Sadhunhara, Gund Prang, Ajas and other villages. Presently, the road is in dilapidated conditions at number of locations and from Ch 6.322 Km to Ch 6.940 Km, project road is under a corridor of low-lying area (water logged). The present road require protection work to prevent soil erosion where road passing closely or adjacent to the low-lying area. The average width of the existing carriageway varies from 2.75 m to 2.95 m with an average shoulder width of 0.85 m resulting in the average roadway width of 6.5 m to 8 m. The existing pavement is entirely flexible type having different thickness as reflected from pavement investigation and is not in fair condition, Longitudinal, Crocodile Cracks, Rutting, Edge Failure, Ravelling, Potholes are significantly visualized. Therefore, this sub-project is necessary for socio-economic upliftment of the area.

### 5.1.2 'With' Project Scenario

The affected areas will benefit from the restored access to markets thereby will bring economic prosperity in these areas and facilitate people to timely access health and education services. Restoration of roads will also serve as supply/rescue lines in the event of a disaster. The proposal is to upgrade the existing road to the intermediate lane. The existing carriageway will be provided with required grade after making the provision for profile corrective course with proper two directional cambers over the existing carriageway surface. Improvement of sight distance on the proposed alignment has been taken under consideration while designing the alignment. Necessary road sign has to be provided where speed is restricted compared to the design speed. There are 16 no's of existing Culverts out of which 6 no's are SC and 10 no's are of HP culverts and these will dismantled and reconstructed to make it all-weather road.

The sub-project will not require any private land acquisition and will not impact any other private asset. This has been confirmed through discussion with engineers from PIU and PMU, JTFRP (Kashmir division) and site visits done by technical team of DPR consultants. Project

Manager, Transport, Kashmir division), ERA, JTFRP vide letter no ERA/PMT/20/1122 dated 07.09.2020 has confirmed the same (annexure 3). The letter issued by the Project Manager, also refers the certificate (letter no. CE/RBK/HD/7165, dated 14.06.2019) issued by the Chief Engineer, PWD(R&B) department in which it has been mentioned that the existing RoW in the sub-project road is 5.50 meters (annexure 4).

### 6. Stakeholder's Consultation

Stakeholder's Consultation is concerned with involving, informing and consulting the public in planning, management implementation, and other decision-making activities. It tries to ensure that due consideration is given to public values, concerns, and preferences when decisions are made. It encompasses the public actively sharing in the decisions that government and other agencies make in their search for solutions to issues of public interest.

One of the key aims of the stakeholder engagement exercise is to ensure that all relevant stakeholders are provided with the opportunity to express their concerns and opinions, which are incorporated as early as possible in the project development: at planning, implementation, and operation phase and in the effect minimize the potential unexpected opposition of the proposed project and potential adverse effects to the environment. It is also very beneficial in incorporating the views of the public into the design process for the adoption of the best workable models and systems.

### 6.1 Identification of Stakeholder

Stakeholder identification is the process of identifying stakeholders considering the legitimate representatives or the project-affected groups and whose views should take precedence in stakeholder consultations. Project related information has been shared with all the concerned stakeholders on 20.06.2019 and 28.06.2019 (annexure 09). This was the first step to identify stakeholders who will be involved in the consultative processes. Since the sub-project does not envisage acquisition of assets such as land and structures and there is no adverse impact on the livelihood either. Therefore, only people residing along the sub-project road are the main stakeholders along with PIU and PMU.

# 6.2 Objective of Stakeholder's Consultation

The specific objectives of the consultations are geared towards:

- Informing the stakeholders about the project and its potential impacts.
- Obtaining local and traditional knowledge that may be useful in decision making.
- Facilitating consideration of alternatives, mitigation measures, and trade-offs (if any).
- Ensuring that important impacts are not overlooked and benefits are maximized.
- Reducing chances of conflict through early identification of contentious issues.
- Providing an opportunity for stakeholders to influence the Project design and operational plan in a positive manner.
- Improving transparency and accountability of decision making.

- Increasing public confidence in the SIA process.
- To gather baseline data for assessment of impacts (both direct and indirect) on the communities of the project area;
- To suggest appropriate mitigation measures to effectively manage potential adverse impacts;
- To do the socio-economic profiling of the project;
- To involve the stakeholders especially the people of the project impact area in the project activities.

# 6.3 Approach for Consultation

A very sensitive and pro people approach was adopted to engage locals in the sub-project activities. Probable minimal impacts during construction period along with other project related information were shared with the stakeholders in order to instil faith and confidence among them about the proposed project and its activities.

Following steps were taken to engage stakeholders.

- 1. Site visits and informal meetings with the local to know their views and perceptions about the sub-project.
- 2. Reconnaissance survey and transect walks.
- 3. Informing the locals about probable non-significant temporary impacts during construction period
- 4. Understanding their needs and requirement.
- 5. Collection of Baseline information.

# 6.4 Details of Public Consultation in the sub-project road

The public consultation was conducted by following the World Bank's ESMF prepared for JTFRP. The purpose and objective of this consultation are the involvement of residents/ stakeholders and to make them aware of the proposed activity of the subproject Public consultations had been organised in the nearby villages to disseminate the project concept and plan among the stakeholders. Consultations were conducted successfully with the people of Hajin, Saidnara, and Ajas villages on 20.06.2019 and 28.06.2019 (annexure 9). Major outcome during consultation was that people are aware that no private land or structure is being acquired for the sub-project.

### 6.5 Information Shared

The following information was shared with the people:

- About project and its source of assistance, its implementation/execution, etc.
- Information on perceived benefits from the proposed sub-project including travel time, fuel costs, noise, and air pollution.
- Information of perceived losses from the proposed sub-project during execution stage in terms of inconvenience to public, air and noise pollution, etc.
- Occurrence of disaster like floods, cloud burst in past.
- Construction activity whether causing any type of health hazard or not? And mitigation measures.
- Discussion among public for sharing of information related to project, environment policy of World Bank direct and indirect impacts of improvement/ construction work on the environment.
- Any loss of land/structure/ business or other community property due to construction activity?
- Safeguarding of religious/ cultural places like temples, Mosques, Shrine along project road during the construction phase?
- Any impact on trees and measures to be taken for saving scheduled trees in close vicinity of the proposed road.
- Possible types of problems faced by the locals in their daily activities due to construction work.
- Livelihood generation by involving local labor with the project during the construction stage of the project.

PIU ERA (Kashmir) ensured that the requisite social management measures shall be incorporated in SMP and public consultation shall be a regular process during all stages of the sub-project execution to solve any issues arising out of proposed works.

# 6.6 Feedback received

During the consultation process about the proposed sub-project, people have expressed keen interest in the proposed sub-project. The local people are expecting a good road to be developed and are aware of the upcoming work. The major problems faced by people are related to the dilapidated condition and low-lying section of the of the existing road. In the time of emergency, like accidents, fast commutation is very difficult and sometimes impossible during rainy season. People are ready to extend all types of support during the

execution of the sub-project as their major difficulties will overcome after completion of the sub-project. The sub-project during the construction stage will generate employment opportunities for local people.

# 7. Analysis of Social Impacts

# 7.1 Impact on Land

The total length of the sub-project road for reconstruction is 7.3 KMs. The average width of the existing carriageway varies from 2.75 m to 2.95 m with an average shoulder width of 0.85 m resulting in the average roadway width of 6.5 m to 8 m. The proposal is to develop the existing road to the intermediate level i.e., 5.5 meter within the available RoW.

The proposed sub-project will be implemented in the available RoW which is encumbrance free and devoid of any private and government structure or any CPR. Project Manager, Transport, Kashmir division), ERA, JTFRP vide letter no ERA/PMT/20/1122 dated 07.09.2020 has confirmed the same (annexure 3). The letter issued by the Project Manager, also refers the certificate (letter no. CE/RBK/HD/7165, dated 14.06.2019) issued by the Chief Engineer, PWD(R&B) department in which it has been mentioned that the existing RoW in the sub-project road is 5.50 meters (annexure 4).

The revenue record of the proposed sub-project could not be obtained from the concern department by JK ERA. Since the revenue record of the proposed sub-project was not available, therefore PMU, JTFRP published a notice in the two local newspapers namely "The Daily Tameel Irshad" and "Kashmir Images" on 15.09.2021 (annexure 5) informing general people and those who are likely to be benefitted/affected in particular, about the upgradation of this road sub-project within the existing right of way under World Bank funding. It also called for any objection from the local people regarding use of RoW, along with supporting documentary evidence within 07 days of publication of the notice in the newspaper. The office of Director safeguards did not receive any objection or claim from anyone even after the lapse of one month of the publication of notice in two local newspapers. Thereafter, Director Safeguards issued an official letter vide no. ERA/DSG/PS/94-99 dated 25.10.2021 regarding encumbrance free RoW detailing therein the process followed to reconfirm the ROW ownership status (annexure 6).

Therefore, on the basis of certificate issued by Project Manager (Transport, Division Kashmir), site visits, approved DPR and notice published in the newspaper it can be said that the sub-project does not have any adverse impact on the assets such as structures, land or on livelihood of anyone.

However, if during execution, there is any unanticipated impact of the sub-project on any asset, the issue shall be addressed as per the provisions of Environment & Social

Management Framework (ESMF) for the project, applicable policies of the WB and that of U.T of J&K.

# 7.2 Impacts on Structures

The proposed alignment is devoid of any structure i.e., residential, commercial, and religious or any CPR. Same has been verified and confirmed by PIU as well as PMU. Project Manager (Transport) PMU, JTFRP has issued an encumbrance-free certificate which confirms that the whole stretch does not have any temporary or permanent structure (annexure 3). Further, strip plan of the road annexed as annexure 7 also confirms that there is no structure inside the alignment of the proposed road.

# 7.3 Impact on Livelihood

There is no commercial structure either temporary or permanent in the proposed alignment of the road. Further, there is no squatter on the road earning livelihood by using the available RoW and none has encroached upon the road. Therefore, there will be no impact on livelihood in the project corridor due to this project.

# 8. Mitigation Measures

# 8.1 Social Management Plan

The Social Impact Assessment study does not envisage any significant adverse impact of the sub-project i.e., there is no involuntary displacement and land acquisition. Further, there is no temporary or permanent impact of any kind on the livelihood of people. Up gradation and reconstruction of the road will be done in the existing RoW. Technical department from PMU & PIU have made required modifications in design at initial stages to avoid negative impact as a part of mitigation measures.

The Social Management Plan suggests the mitigation measures needs to be adopted during execution to deal with unanticipated impact of the sub-project.

### 8.2 Objectives

The main objective of the Social Management Plan is to mitigate the various adverse social impacts which may arise during the pre-construction, construction, and post-construction of the sub-project. The objective of SMP in preconstruction, construction & post-construction stages are as follows:

### **Pre-construction Stage:**

To discuss the design and technical proposal with the stakeholders to know their suggestions and inputs. To inform them about the project, its funding, land requirements, and policies and guidelines of funding agencies and applicable to the project.

### **Construction Stage:**

To ensure that the provision of the SMP (Social Management Plan) is strictly followed and implemented by strengthening implementation arrangement.

To address the construction stage social impacts arising due to various project activities en route the corridor and particularly at habitations through specific measures that need to be applied across and certain specific measures that shall be determined on a case-by-case basis.

### **Post-construction Stage:**

To ensure that all the issues that arose during the construction stage shall be addressed properly. In case land and other assets utilized by the EA or contractor shall be restored to the satisfaction of communities and owners of that assets.

# 8.3 Scope

The Social Management Plan (SMP) in the sub-project, consists of the set of mitigation, monitoring and institutional measures to be taken during the pre-construction, construction, and operation stages of the project to eliminate adverse social impacts, to compensate them, offset them, or to reduce them to acceptable levels following the mitigation hierarchy. The plan also includes the actions needed for the implementation of these measures.

The major components of the Social Management Plan are:

- Mitigation of potentially adverse impacts;
- Integration of SMP with Project in construction and operation phases;
- Institutional Capacity Building and Training;
- Monitoring during project implementation and operations;

### 8.4 Context for the SMP

This Social Management Plan for Hajin Ajas via Saidnara sub-project road is based on Social Impact Assessment study during which site visits were carried out in the project corridor. Consultations and meetings were conducted with the people and project design was discussed and evaluated on the ground.

The proposed sub-project will be implemented in the available RoW which is encumbrance free and devoid of any private and government structure or any CPR. (Project Manager, Transport, Kashmir division), ERA, JTFRP vide letter no ERA/PMT/20/1122 dated 07.09.2020 has confirmed the same (annexure 3). The letter issued by the Project Manager, also refers the certificate (letter no. CE/RBK/HD/7165, dated 14.06.2019) issued by the Chief Engineer, PWD(R&B) department in which it has been mentioned that the existing RoW in the sub-project road is 5.50 meters (annexure 4). There can be few temporary impacts due to construction activities and to address these impacts, a Social Management Plan has been prepared which lays down mitigation measures that needs to implemented for any impact on site. SMP will be implemented by the contractor under the supervision of PMU & PIU, ITFRP.

# 8.5 Methodology for SMP Preparation

The comprehensive social management approach for the project involves following key steps and processes.

• Screening of social impacts during the SIA study

- Public consultation with the stakeholders.
- Discussion of Technical Proposal with the stakeholders.
- Transect walk and Identification of issues that can crop up during the construction stage.
- Development of measures aimed at avoiding, mitigating, and offsetting, or reducing impacts to levels that are socially accepted during implementation and operation of the project road.

# 8.6 Probable social issues that may arise during the construction stage

- Loss of land due to land-slides resulting from hill cutting activities.
- Cracks in structures or damage due to construction works e.g. hill cutting activities
- Temporary short duration or prolonged disruption to services such as water supply, power supply etc.
- Temporary Disruption to traffic movement leading to time delays.
- Possibility of gender-based violence arising from influx of migrant labour for construction works.
- Labour influx issues may arise if contractor will employ the manpower from outside
- Labour issues like unequal wages to men and women, discrimination in employment opportunities, Child labour.
- Inconvenience and Nuisance to Public due to accumulation of excavated earth
- Stagnation of water leading to mosquito breeding and public health problems.
- Spread of diseases at construction and camp sites due to influx of labour like HIV AIDs, COVID 19 etc.

# 8.7 Social Management Plan

Based on the findings and issues identified during SIA study, Social Management Plan has been prepared for the sub-project. The mitigation measures for the potential impacts are presented in form of a matrix according to the sequential flow of activities in the project life cycle. These measures would be further updated by Contractor during the implementation of the SMP. The Social Management Plan will be a part of bid document.

**Table 14: Social Management Plan** 

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency				
Planr	Planning/Pre-construction Phase								

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency
1	Pre- construction phase	<ul> <li>Sharing of design with the community.</li> <li>Utilization of private land temporarily if required</li> <li>Provision of alternative access to the community for commuting wherever required.</li> <li>Restoration and relocation of Common Property Resources if any</li> </ul>	<ul> <li>Consultation with local community and stakeholder engagement.</li> <li>Written consent from the community or owner of the land required for stocking construction material temporarily.</li> <li>Involving locals wherever any issue arises</li> </ul>	Contractor	PIU
	ruction Phase		75	C	DIII / DNAT
2	Influx of labor	<ul> <li>Construction Camp Locations Selection, Design, and Lay-out.</li> <li>Conflict with the community due to social and cultural differences with the host community.</li> <li>The potential impact of spreading infectious diseases from labor to the local or vice versa.</li> <li>Possibility of Sexual abuse and assault in the labor camps or otherwise.</li> <li>Drug abuse, gambling, etc.</li> </ul>	<ul> <li>Minimize labor influx as much as possible by engaging the local labour force.</li> <li>Ensure labor camps for the labor (Away from religious places and localities to the extent possible).</li> <li>Awareness of the health and sanitation for the labor.</li> <li>Ensure the least contact between the host community and the labor.</li> <li>Awareness of sexual assault &amp; drug abuse.</li> </ul>	Contractor	PIU/ PMU Monthly Monitoring
		Facilities for the Labour in camp and on the worksite	<ul> <li>Providing accommodation facilities to the migrant laborers with proper ventilations.</li> <li>Provision for safe drinking water and appropriate cooking arrangement at labor camps;</li> <li>Provision of Separate toilet and bathing facilities for men and women</li> <li>Provision of medical facility which includes first aid kit at the camp site and also ambulance facility to take patients to the hospital in case of emergency.</li> <li>Proper drainage facility at the camp site along with water sewerage treatment facilities. No waste water should be discharge to any</li> </ul>	Contractor	PIU/ PMU Monthly Monitoring

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency
			surrounding area without required permission and proper treatment.		
			<ul> <li>Provision of prayer rooms as per the religious beliefs of the workers.</li> <li>Safe storage facilities for the gas cylinder, petroleum, and other chemicals, used by laborers.</li> <li>Proper solid waste collection and disposal system at the camp site.</li> <li>The camp should have proper security arrangements, like a Security fence.</li> <li>Preparing a code of conduct for the migrant workers.</li> </ul>		
			for the migrant workers.  Conducting awareness programme about sexually transmitted diseases among the migrant workers, laborers and for the community around project site;  Awareness program on COVID-19.		
			<ul> <li>Provision of hand sanitizer, masks in the labor camps.</li> <li>Provision a separate accommodation for COVID-19 infected labors or persons engaged by the contractor.</li> <li>Provision of crèche on site for children.</li> </ul>		
			<ul> <li>Training programs for construction workers in basic sanitation and health care issues (e.g., how to avoid malaria and transmission of sexually transmitted infections (STI) HIV/AIDS.</li> <li>Labour Registration.</li> <li>Awareness program for labor rights</li> <li>No employment of child</li> </ul>		
		• Registration of Complaints received from labor.	Arrangement to register and redress the grievance of workers.	Contractor	PIU/ PMU Monthly Monitoring

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency
			Grievance Redressal System for the project to address such issues including sexual harassment at the workplace		
		<ul> <li>Equality of opportunity to work.</li> <li>Equal Pay for equal work</li> <li>Preference to the Women Laborers</li> </ul>	<ul> <li>To be ensured throughout the project cycle.</li> <li>Maintenance of payment registers by the contractor.</li> </ul>	Contractor,	PIU/ PMU Monthly Monitoring
3	Community Health and Safety	• Injury & sickness due to construction work and movement of heavy vehicles, contamination, or other natural or human-made hazards.	<ul> <li>Provision of access to the community, shops, religious places during the construction phase.</li> <li>Better marking and signage.</li> <li>Provision of alternative transportation routes for vehicles and ambulances wherever required.</li> <li>Undertaking regular surveillance at the site to check on Hygiene conditions for disease control.</li> <li>Treating mass awareness on HIV and STDs and COVID-19.</li> <li>Ensure the least contact between the labor and the local population.</li> <li>Sharing grievance redressal system with the community and displaying contact numbers at the site to register any grievances due to the project.</li> <li>contamination of water bodies due to stocking of construction material etc.</li> <li>Safeguarding pedestrians' safety including women, children.</li> <li>During construction of side, drains provide temporary/safe access to shops, kids, hospital/clinic, religious places, etc.</li> <li>Community Consultation</li> </ul>	Contractor	PIU/ PMU Monthly Monitoring
4	Occupational health and	Injury and sickness of labor	Community Consultation     Provide training on health     and safety to all the workers	Contractor	PIU/ PMU Monthly
	nealth and safety	labor	<ul> <li>and safety to all the workers.</li> <li>Provide PPE to workers as per work requirements.</li> <li>Regular checking of body temperature and other</li> </ul>		Monitoring

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency
			symptoms among the laborers for COVID-19 and maintaining a register.  Awareness program on COVID-19.  Provision of hand sanitizer, masks in the labor camps and on the sites.  Displaying of COVID-19 help line numbers on-site as well as in labor camps.  Provide separate toilets for male and female labor at the construction site  Provide safe drinking water at the construction site.  Providing a separate resting area at the site for breaks during the work period  Provide adequate lighting in the construction area and along the roads.  Conduct an initial health screening of the laborers working at the construction site, especially those who are coming from outside the project area.  Provide first aid facility at the construction site  Provide HIV awareness programming, including STI (Sexually Transmitted Infections) and HIV information, education, and communication for all workers on regular basis.		
5	Gender-Based Violence	<ul> <li>Sexual Exploitation and Abuse (SEA)</li> <li>Workplace Sexual Harassment</li> <li>Human Trafficking</li> <li>Non-SEA</li> </ul>	<ul> <li>Awareness program for the Contractors, Local Communities, and laborers on national laws.</li> <li>Introducing a worker's code of conduct.</li> <li>Displaying of various legal provisions on-site, in labor camps, and at prominent locations in the project area.</li> <li>Ensure that complaints of GBV are registered and confidentially maintained in a register.</li> <li>Strict code of conduct for workers with no tolerance</li> </ul>	Contractor	PIU/ PMU Monthly Monitoring

Sl.N o.	Project Phase/Activity	Issues/ Potential impacts	Proposed Mitigation Measures	Responsib ility	Monitoring Agency/ Frequency
			for physical or verbal abuse of women or children.		
Post (	Construction Phase				
6	Rehabilitation of site used for camp, storage etc.	<ul> <li>Handing over temporarily used private/ community land to the landholders/ community by the contractor without restoration work and payment of dues/ lease amount.</li> <li>Non-removal of debris and other construction material from the site.</li> </ul>	<ul> <li>Consultation with the private party or Community and restoration of their land.</li> <li>Removal of non-utilized construction material from the site.</li> <li>Payment of lease amount/rent, if any due, to the private party or community for utilization of their resources.</li> </ul>	Contractor	PIU/PMU Within one Month

### 8.8 Gender Action Plan

### 8.8.1 Status of Women in J&K

Women constitute around 47% of the total population of the State. The development of women, no doubt, has been a part of the development planning process right from the inception of Five-Year Plans but the shift in approach from welfare to development toward women took place in a focused manner in the 6th and 7th Five Year Plans. The 8th Five Year Plan promised to ensure that benefits of development do not by-pass women. The 9th Five Year Plan changed the strategy for women from development to empowerment and emphasis on preparation of a separate Women Component Plan (WCP) by identifying specific Schemes/Projects having a direct bearing on the welfare and development of Women. The 10th Five Year Plan further strengthened the implementation of the Women Component Plan (WCP).

Moreover, the Women and Child Development Department in the Ministry of Social Justice and Empowerment has also enjoined upon the states to monitor closely the flow of benefits of various schemes for the empowerment of women on regular basis. These initiatives have helped in improving the status of women in various spheres to a great extent, but the imbalance still exists which needs to be addressed over the years. The 11th Plan had taken numerous steps forward. However, the targets set out could be only partially achieved. In the 12th plan, the Government's priority would be to consolidate the existing initiatives and interventions relating to women, build upon the achievements and also move beyond to respond to new challenges. The female population of J&K State slashed down from 47.15%

of the total population in 2001 to 46.88% in 2011. As per details from Census 2011, Jammu and Kashmir have a population of 1.25 Crore souls over the figure of 1.01 Crore in the 2001 census. The total population of Jammu and Kashmir as per the 2011 census is 12,548,926 of which male and female are 6,665,561 and 5,883,365 respectively indicating a reduced sex ratio of 883. The corresponding figures of male and female as per Census 2001 were 5,360,926 and 4,782,774 respectively indicating a sex ratio of 892. Sex ratio (females per thousand of males) is an important indicator of the social conditions particularly for women's status in any society.

The low sex ratio shows indulgence of artificial interventions, distorting the biological trend and natural balance in terms of the number of females per thousand males. An important concern in the present status of Jammu and Kashmir's demographic transition relates to the adverse sex ratio. The sex-ratio as per census 2011 was 883 which is a matter of great concern and needs to be addressed on priority. Education of the women is very effective tool for women's empowerment not only from the point of view of literacy, but it has inter-linkage with other social parameters viz. population growth, health care, education of children, etc. It enables rural women to acquire new knowledge and technology, required for improving and developing their tasks in all fields, besides availing new opportunities and combating emerging challenges of a dynamic society.

Female education is essential for higher standards of health and improved "maternal competence" which leads to lower infant mortality. It also raises women's economic productivity. Despite its linkage to so many positive outcomes and the progress made over the past 50 years, female literacy remains low in J&K State as compared to men. Jammu and Kashmir's literacy rate has increased by 13% in the last decade i.e. from 55% in the 2001 Census to 68% in the 2011 Census. While female literacy has increased from 42.22% in the 2001 Census to 58.01% in 2011. Gender differential still exists both in rural and urban areas but it is comparatively higher in rural areas. This can be attributed to some factors viz., lack of access to schools, parents feeling insecure about sending girl children to schools, their engagement in agricultural and other domestic activities, etc. Though still being at a disadvantageous position, the womenfolk are breaking the barriers/shackles to get an equal share in basic human rights. With a higher growth rate than male literacy, the goal is expected to be achieved in near future.

### 8.8.2 Legal Provision Related to Women in J&K

- J&K Protection of Women from Domestic Violence Act, 2010
- Jammu and Kashmir Juvenile Justice (Care and Protection of Children) Act, 2013

• State Commission for Women Act, 1999

### 8.8.3 Strategy

### Suggestive Actions to be taken in the sub-project

- Ensure participation of vulnerable groups in the project activities.
- Ensuring facilities in construction camps.
- Carrying out other responsibilities towards vulnerable groups.

### Suggestions for increasing the Women's Participation in the sub-project

- Allow women to take part in the consultation process. Ensure that the women are
  consulted and invited to participate in group-based activities, to gain access and
  control over the resources.
- Encourage women to evaluate the project outputs from their point of view and their useful suggestions should be noted for taking necessary actions for further modifications in the project creating better and congenial situation for increasing participation from women.
- Devise ways to make other vulnerable to participate in the project activities.

### **Involvement during Construction**

Wherever possible, women's involvement in construction activities should be encouraged in order to help them have access to benefits of project activities.

### **Ensuring Facilities in Construction Camps**

Foreseeing the involvement of women, both direct and indirect in the construction activities, PMU, PIU & PMC shall ensure certain measures that are required to be taken by the construction contractor towards welfare and well- being of women and children during the construction phase such as:

- **Temporary Housing:** During the construction, the families of laborers/workers should be provided with residential accommodation suitable to nuclear families.
- **Health Centre:** Health problems of the workers should be taken care of by providing basic health care facilities through health centres temporarily set up for the construction camp.
- Day Crèche Facilities: It is expected that among the women workers there will be
  mothers with infants and small children. Provision of a day crèche may solve the
  problems of such women, who can leave behind their children in such a crèche and

work for the day in the construction activities. If the construction work involves women in its day-night schedules, the provision of such a crèche should be made available on a 24-hour basis.

- **Proper Scheduling of Construction Works:** Owing to the demand for fast construction work, it is expected that a 24 hours-long work-schedule would be in operation. Engaging women labour during night services should be avoided by the project or can be permitted only after getting written request from the women labour. In this case crèche facilities in the construction camps must be extended to them in the night.
- **Control on Child Labor:** Minors, i.e., persons below the age of 14 years, should be restricted from getting involved in construction activities. It will be the responsibility of the Social and Environmental experts of PMU, JTFRP to ensure that no child laborers is engaged in the activities. PMU& PIU shall keep strong vigilance to ensure the cessation of such exploitation.

### 8.8.4 Avoiding Gender based violence

The contractor will prepare and implement robust measures to address the risk of gender-based violence that include:

- Mandatory and repeated training and awareness-raising for the workforce about refraining from unacceptable conduct toward local community members, specifically women;
- informing workers about national laws that make sexual harassment and genderbased violence a punishable offense which is prosecuted;
- introducing a Worker Code of Conduct as part of the employment contract and including sanctions for non-compliance (e.g., termination), and (iv) contractors adopting a policy to cooperate with law enforcement agencies in investigating complaints about gender-based violence.

# 8.9 Labour influx and Labour Management

Since the construction activities are mostly labour intensive by nature, therefore, it is also envisaged that both local and migrant labour shall be employed by the project. These migrant laborers will be accommodated in a temporary campsite within the project area.

### 8.9.1 Objectives

The influx of migrant labour will have both negative and positive impacts on the nearby community and local environment. The labour will be accommodated in a temporary campsite within the project area which can have a significant interface with the host community. The influx of migrant workers would lead to a transient increase of population near the project area for a limited time. This would put pressure on the local resources such as roads, fuel for cooking, water, etc. Hence, a plan has been designed to demonstrate the:

- Potential impacts associated with the influx on the host population are minimized;
- Provision of safe and healthy working conditions for migrant labour; and
- To ensure compliance with the national labour laws, including guidance provided on the latest COVID 19 epidemic in the country.

# 8.9.2 General Requirements

All migrant workers are envisaged to be accommodated in a proper temporary campsite within the project area. If migrant workers are accompanied by their families, provisions should be made accordingly. As per the National Acts, the inclusion of requirements for labor camp to be established by contractors during the construction phase of the project. Contractor(s) shall ensure implementation of the following measures to minimise the potential negative impacts of worker accommodation and workers on local communities:

- Cleanliness and Sanitization: Pest extermination, vector control, and disinfection
  are to be carried out throughout the living facilities in compliance with local
  requirements and/or good practice. In light of the COVID-19 outbreak and
  increased risks to labour and community health and safety and occupational health
  and safety, the contractor needs to take precautions time to time.
- **Complaints and incident reporting:** A formal Complaints Procedure will be implemented to ensure the timely and transparent response to complaints as received from labour.
- **Labour education:** The workforce will be sensitized to local social and cultural practices through the provision of an induction course for all employees that stipulates expected behaviour;
- **Labour behaviour in the campsite provided:** A Code of Behaviour governing appropriate behaviour in the accommodation facilities to be kept in place and to be strictly enforced. The contractor shall ensure implementation of the "rules of

- engagement" between laborers living in the campsite and community and shall be implemented by construction contractors for all engaged laborers.
- **Labour Compensation and Accommodation:** JTFRP shall ensure that laborers are provided with benefits such as leave, weekly rest day, etc. Accommodation to be provided for the construction labour which covers facilities (including catering facilities, dining areas, washing and laundry facilities, etc.) and supporting utilities.

# 8.9.3 Hiring & Recruitment Procedures

- The manpower wherever possible shall be locally recruited by the contractor. The following general measures shall be considered for the workforce during their employment tenure:
- The implementing agency in consultation with the PMU will include a code of conduct relating to the accommodation to be signed with the contract document of contractors.
- The contractor shall not employ any person below the age of 18 years nor will have any forced labour; The construction laborers will be provided with documented information regarding their rights under national labor and employment law such as but not limited to Factories Act, Minimum Wages Act, 1948 Trade Unions Act, and Workmen's Compensation Act; 1923
- The first priority for employment of labour should be given those impacted by the project such as landowners who have lost land / donated land;
- No discrimination shall be done by the construction contractor for recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, termination of employment or retirement, and disciplinary practices;
- The contractor to ensure that work hours are set at eight hours a day, 48 hours a week, with a weekly rest day for all engaged labour;
- Every labour is entitled to a maximum of only two hours a day as Overtime (OT) work. OT pay is twice the hourly remuneration;
- The project will ensure that equal wages for male and female workers for work of equal nature or value is maintained;
- A grievance redressal mechanism for workers to be put in place by the contractor to raise workplace concerns. The workers to be informed about the grievance mechanism at the time of recruitment; and

- The Contractor to ensure that they develop and implement a procedure to review the performance of their sub-contractors.
- The procedure developed should include regular inspection of the campsites, maintaining information of labor sourced by sub-contractors;

#### 8.9.4 Worker's Accommodation

The EA has to supervise and monitor the activities performed by their contractor and accommodation facilities provided in the campsite. The following measures shall be provided:

- The laborers to provide with accommodation made of insulating material and locally available building material, etc. along with storage of personal belongings;
- The migrant workers with families will be provided with individual accommodation comprising bedroom, sanitary, and cooking facilities;
- The units to be supported by common latrines and bathing facilities duly segregated for male and female labor; A minimum of 1 unit to 15 males and 1 unit for 10 females shall be provided;
- The contractor shall provide a canteen facility with the facility to cook food of appropriate nutritional value respecting religious/cultural backgrounds;
- All doors and windows shall be lockable and mobile partitions/curtains shall be provided for privacy;
- Dust bins to be provided for collection of garbage and to be removed daily;
- It is also required to provide first aid box in adequate numbers; and
- Ventilation should be appropriate for the climatic conditions and provide workers with a comfortable and healthy environment to rest and spend their spare time.

# 8.9.5 Security

The contractors shall put in place the following security measures to ensure the safety of the workers. The following measures shall be incorporated:

- Access to the campsite shall be limited to the residing workforce;
- The contractor shall be responsible for deploying an adequate number of guards;
- Adequate, day-time night-time lighting shall be provided;
- The security personnel shall be provided with training to respect the community traditions and in dealing with, use of force, etc.; and

• The rental accommodation shall be provided with firefighting equipment and portable fire extinguishers.

# 8.9.6 Provisions for Drinking Water

- Access to an adequate and convenient supply of free potable water is a necessity for workers. The domestic water conforming to the IS 10500:2012 supply shall be made available by the contractor.
- The direct usage of water from bore well should not be allowed;
- The Contractor(s) should regularly monitor the quality of drinking water. In case of non-compliance with the Drinking Water Specifications, additional treatment shall be provided, or alternative sources of water supply shall be arranged; and
- All storage container of drinking water to be monitored from becoming polluted or Contaminated.

# 8.9.7 Cooking Arrangements

- Places for food preparation are designed to permit good food hygiene practices, including protection against contamination between and during food preparation;
- Adequate personal hygiene including a sufficient number of washbasins designated for cleaning hands with clean, running water; and
- All kitchen floors, ceiling and wall surfaces adjacent to or above food preparation and cooking areas are built using durable, non-absorbent, easily cleanable, non-toxic materials;
- Food preparation tables are equipped with a smooth, durable, easily cleanable, noncorrosive surface made of non-toxic materials.
- To ensure that the fuel need of laborers in the project area does not interfere with the local requirements, necessary arrangements for the supply of fuel to the laborers shall be done by the contractor.

# 8.9.8 Waste Water Generation

- There will of generation of wastewater from the campsite. About 80% of the water used shall be generated as sewage/wastewater.
- Contractors to ensure that the campsite is equipped with a septic tank and soak pit for disposal of sewage. It is also recommended that the stormwater and sewage

system should be separated. The surface water drainage shall include all necessary gutters, downpipes, gullies, traps, catch pits, manholes, etc.

Sanitary and toilet facilities are constructed of easily cleanable materials. Sanitary
and toilet facilities are required to be cleaned frequently and kept in working
condition.

#### 8.9.9 Medical facilities

The following medical facilities shall be provided by contractors for the construction workers:

- A first-aid centre shall be provided for the labor within the construction site equipped with medicines and other basic facilities;
- Adequate first aid kits shall be provided in the campsite in an accessible place. The kit shall contain all type of medicines and dressing material;
- The contractor shall identify and train an adequate number of workers to provide first aid during medical emergencies;
- Regular health check-ups shall be carried out for the construction laborers every six month and health records shall be maintained;
- Labours should have easy access to medical facilities and first aider; where possible, nurses should be available for female workers;
- First aid kits are adequately stocked. Where possible a 24/7 first aid service/facility is available.
- An adequate number of staff/workers is trained to provide first aid; and
- Information and awareness of communicable diseases, AIDS, etc. shall be provided to workers.

#### 9. Monitoring and Evaluation

The Project requires detailed supervision, monitoring, and evaluation of the impact on the social aspects. Monitoring is the periodical checking of planned activities, which provides midway inputs, facilitates changes, if necessary, and provides feedback to Project Authority for better management of project activities. It helps in making suitable changes and modifications in safeguard documents during project implementation. Evaluation on the other hand assesses whether the activities have achieved the intended goal and objectives. Thus, monitoring and evaluation are critical to measuring the project performance and fulfilment of project objectives.

To carry out this, PMU has made specific arrangements. The executing agency has a dedicated unit to deal with the social and environmental safeguards. This unit is headed by Director Safeguards who is assisted by full-time Social Safeguards and Environmental Experts. To ensure compliance with the World Banks' social safeguard issues Director Safeguards will monitor and evaluate routine activities. Half-yearly Environmental and Social Audit, of ESMF implementation, will be done by the Technical Audits and Quality Control Consultants. Progress on social safeguards and other issues will be flagged in the MPR and QPRs.

#### 9.1 Safeguards Supervision

This will be done by PMU with the support of PIU and consultants. All the sub-projects will be visited at regular intervals by PMU to check if all safeguard requirements are met and to identify any issues that need to be addressed. PMU should submit quarterly progress reports to The World Bank on safeguards implementation.

#### 9.2 Concurrent Monitoring and Quarterly Reporting

The concurrent internal social monitoring will be done as part of the regular monitoring by the PIU, Implementing Agencies, and TAQAC. However, PMU, with the help of an in-house Social Specialist will do the regular social monitoring of sub-projects for safeguards compliance.

#### 9.3 Safeguards Monitoring Plan

Apart from the quarterly monitoring reports submitted to the World Bank, once every year, the PMU will prepare a report of the environmental and social situation in the project districts including data and analysis of relevant parameters as given in the plan below. This report also

should give a listing of relevant new legislation and regulations that have a bearing on the environmental and social performance of the project. PMU will submit this report to The World Bank.

#### 9.4 Independent Safeguard Audits

The PMU will appoint Independent Project Implementation Quality Audit Consultants with expertise in social and environmental safeguards to conduct a half-yearly project quality audit, which will include Environmental and Social Audit of selected sub-projects for compliance with the ESMF.

#### 9.5 Right to Information and Disclosure

The Jammu and Kashmir Right to Information Act 2004 gives the right to persons to obtain any document or information relating to the affairs of the state or public body. In addition to the provisions of the above Act, the JTFRP provides for voluntary disclosure of information and project documents on website for public consumption.

#### 10. Grievance Redressal Mechanism

Grievance Redressal Mechanism is a process to address people's grievances related to land acquisition, resettlement, and rehabilitation, or any other social issue arising out of the project-related activities; executing agency will establish two bodies, one at a local level (site level) and another at District level. In case, the grievances are not resolved at these two levels, then they will be forwarded to R&R Committee at the Divisional level for this project which will be established under the Divisional Commissioner, Jammu/Srinagar. The grievances will be registered at the Project site. The local level grievance committee will try to resolve the case in a maximum of 14 days. In case the aggrieved person is not satisfied with the decision delivered at the local level or the grievance/s is not resolved, the same shall be forwarded to the district level committee, headed by District Collector. No grievance can be kept pending for more than a month which means the committee has to meet every month. Executing Agency through PMU, JTFRP will monitor the implementation of the decision of the committee. In case the aggrieved party is not satisfied with the proposed redressal measures, it can approach the Divisional Level Redressal Committee, headed by Divisional Commissioner, Jammu/Srinagar. If the aggrieved party is not satisfied with the decision delivered or the committee is not successful in resolving the grievance/s, they can approach the court of law at their expense. The committees' composition is detailed below:

#### 10.1 Composition of Grievance Redress Committee (GRC) at various levels of the project

- A. **Grievance Redress** Committee **at Local Level:** This committee/cell will work at the local level i.e., site level. This will be comprised of the following members:
  - a. Engineer from PMU
  - b. Assistant Executive Engineer (PIU)
  - c. Site Engineer (PIU)
  - d. Local Revenue officer
  - e. Social Safeguard Officer
  - f. Ward Member/Halqa Panchayat member
  - g. Women representative (Retired Officer/Academicians/Development Professional)
- B. **Grievance Redress Committee at District Level:** In case of grievance/s are not addressed at the local level or PAP/ aggrieved person is not satisfied with the decision delivered at local level, he/she can approach to the grievance redressal committee constituted at the district level. The following will be the composition of the committee.
  - a) District Collector

- b) Director/Head PIU (Convener)
- c) Nodal officer of the Project Component (PMU)
- d) Nodal Officer (Social Safeguards, PMU)
- e) Representative of PRIs
- f) A Prominent Women (Retired Officer/Academicians/Development Professional)
- g) A senior representative of SC/ST Welfare Board
- C. Division Level Redressal Committee (DLC): In case, grievance/s is not addressed at the local and district level, the same will be forwarded to the Divisional Level Redressal Committee through PMU. The committee will provide a major platform to people who might have objections to the decisions taken at the two previous levels. The committee will look into the grievances of the people and will assign responsibilities to implement the decisions of the committee. This Committee (after formation) will be convened by the Chief Executive Officer, ERA/JTFRP, and headed by Divisional Commissioner Jammu/Srinagar. This committee should meet every quarter to solve any grievance/s and will decide within 03 months of receiving the grievance/complaint. Nodal Officer (Social Safeguards) will coordinate the meetings. This committee will also provide policy-related directions to the Grievance Redressal Committee and the participating departments about land acquisition and resettlement and rehabilitation.

The following will be the composition of the committee:

- a. Divisional Commissioner, (Chair)
- b. Chief Executive Officer, JPFRP/JK ERA (Convener)
- c. Heads of participating departments
- d. Director Technical (PMU/JTFRP)
- e. A senior representative, one each from BC & EBC and SC & ST Welfare
- f. A senior representative of the revenue department
- g. A senior representative of the Disaster Management Department
- h. Social Safeguard Specialist (Nodal officer, PMU)
- i. A prominent women representative (Retired/ Development Professional/Academician)
- j. A PRI representative
- k. A representative of PAPs who can articulate well.

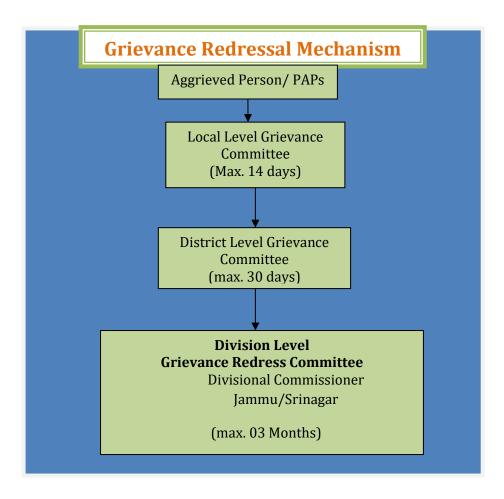


Figure 2: Structure of GRM

#### 10.2 Approach to GRC

Project Affected Person/aggrieved party can approach GRC for the redress of their grievances through **any** of the following modes:

- **1. Web-based:** The grievance corner will be provided at the website of PIU/PMU so that the affected person can register their complaint online.
- **2. Telecom-based:** If needed a toll-free number will be issued by the PMU/ PIU so that affected people can register their complaints through telephone / mobile phone to the PIU/PMU office.
- 3. Through LGC: The LGC will collect the problems & issues of the community or affected persons and pass on the same to PIU/PMU and try to resolve them. A grievance register will be maintained by the contractor/PIU at each site office. The phone number of the concerned engineer shall be displayed at the site so that the aggrieved person can contact the concerned site engineer in case of an emergency.

**4. Through PMU:** PAPs/aggrieved party can register/file grievance/s directly to the PMU also. PMU will enroute the same through PIU to the site engineer who will try to resolve it within the stipulated time and the rest process will follow.

Besides the grievance redress mechanism of JTFRP, the state has an online grievance monitoring system known as Awaz-A-Awam (People's voice). The PAPs can also lodge their grievance online at <a href="http://www.jkgrievance.nic.in">http://www.jkgrievance.nic.in</a>.

#### 10.3 Legal Options to Aggrieved persons/PAPs

In case PAPs are not satisfied with the decision of GRC at the local/district level and Divisional Level committee, they are free to approach the court of law on their own will and expenses at any time to redress their grievance/s. The general public and PAPs specifically will be informed about the Grievance/s redress committee and mechanism through public consultations and disclosures.

#### 11. Institutional Arrangement

#### 11.1 Institutional Arrangement in the project

A project steering committee has been set up for the overall strategic guidance and monitoring of the project. It is headed by Chief Secretary and comprises of all involved line departments and additionally departments of planning, environment and social welfare. A Project Management Unit (PMU) for the project (JTFRP), housed in Jammu & Kashmir Economic Reconstruction Agency (JK ERA) is responsible for the overall management of the "Jhelum Tawi Flood Recovery Project (JTFRP)". This PMU is headed by Chief Executive Officer (CEO). Social Development Specialist has been positioned in PMU to provide assistance and support to Director Safeguards to address all safeguard-related issues during documentation, execution, and implementation of ARAP (wherever required) and monitoring.

The Chief Executive Officer (JKERA/JTFRP) will be responsible for overall coordination, reporting, technical assistance, monitoring, and budgeting of all the components associated with the project. The CEO will have the administrative and financial powers for the implementation of the project including the implementation of ARAP wherever required. The Chief Executive Officer (CEO) will be supported by Director Technical, Director Safeguards, Director Planning and Coordination, Director Disaster Management, Executive Engineers, AEEs, and Social Development Specialist. The PMU will be responsible for providing overall policy guidance, training, and capacity-building support to PIU (JK ERA) to ensure compliance with World Bank's Safeguard Policies and applicable Union Territories and other acts, notifications, guidelines, etc. Director Safeguards with the assistance of a Social Development Specialist in EA will ensure that all social safeguards issues are complied with as detailed out in Social Management Plan. Social issues will be coordinated by Social Development Specialist (SDS) within the PMU and PIU. PMU will be assisted by Project Management Consultants (Technical Assistance and Quality Audit Consultants) for technical support and advice, monitoring and impact evaluation, etc.

#### 11.2 Implementation Stage

The sub-project does not involve involuntary displacement, land acquisition, and livelihood loss either temporary or permanent. The Project Implementation Unit is headed by the Project Manager (Transport) in JK ERA. Overall civil work shall be carried out under his supervision and guidance. Director Safeguards with the support of the Social Development Specialist in PMU, JK ERA will ensure compliance with the WB policies and other provisions

applicable to the project. For this sub-project, Only Social management Plan needs to be implemented during the execution of the sub-project.

# 12. Annexures

# **Annexure1: Environment and Social Screening Data Sheets**

# **Part A: General information**

1. Name of the sub- project	Improvement & Up-gradation of Hajin-Ajas Via Saidnara Road in District Bandipora				
2. Type of proposed activity (tick the applicable option and provide details)					
• Road	√				
• Bridge	-				
Fire Station	-				
<ul> <li>Hospital/Health         Facility     </li> </ul>	-				
Educational Institute	-				
Building for Livelihoods	-				
• Flood Infrastructure Related					
Other Public Building					
• Any Other (Please Specify)	-				
3. Location of the proposed	d sub-project				
Name of the Region	Kashmir (J&K State)				
Name of the District	Bandipora				
Name of the Block	Sumbal				
• Name of the Settlement	Koshum Bagh, Rakhi Hajan,Sadurkote,Saidnara, Gund Prang, Ajas				
• Latitude	34° 17'40.56"N (At Hajin-RD 0+000), 34° 19'47.98"N (At Ajas-RD 7+300),				
• Longitude	74°37'29.28"E (At Hajin-RD 0+000), 74°40'27.79"E (At Ajas-RD 7+300),				

4a. Proposed Nature of Wor	${f k}$ (tick the applicable options)
Minor Repairs	-
Major     Repairs/Rehabilitation	-
<ul> <li>Upgrading/Major</li> <li>Improvement</li> </ul>	$\sqrt{}$
• Expansion of the facility	-
New Construction	-
Any Other	-
4b. Size of the sub- project (approx. area in sq. mt/hac or length in mtr./km, as relevant)	7.3 Km
5. Land Requirement (in ha	ac./sq.mt.)
Total Requirement	Nil (No land required, PIU certified that work will be done in the available RoW)
Private Land	Nil
Govt. Land	Nil
Forest Land	Nil
6. Implementing Agency D	etails (sub-project level)
• Name of the Department/ Agency	PIU-ERA (Kashmir)
Name of the contact person	Mr. Abdul Wahid
• Designation	Project Manager
Contact Number	7006152713
• E-mail Id	projectmanager049@gmail.com
7. Screening Exercise Deta	ils
Date on which it was carried out	20.06.219 & 28th June 2019
Name of the Person	Vikash Sharma/ Yaadullah Shah
Contact Number	9419125803/9622672672

# Part B (1): Environment Screening

Q	Question		No	Details
1.	Is the sub-project located in we environmentally sensitive are		art wi	thin 1 km of the following
a.	Biosphere Reserve		No	-
b.	National Park		No	-
c.	Wildlife/Bird Sanctuary		No	-
d.	Wildlife/Bird Reserve		No	-
e.	Important Bird Areas (IBAs)		No	-
f.	Habitat of migratory birds (outside protected areas)		No	-
g.	Breeding/Foraging/Migratory route of Wild Animals (outside protected areas)		No	-
h.	Area with threatened/rare/ endangered fauna (outside protected areas)		No	-
i.	Area with threatened/rare/ endangered flora (outside protected areas)		No	
j.	Reserved/Protected Forest		No	-
k.	Other category of Forest		No	-
l.	Wetland		No	

m.	Natural Lakes		No	
n.	Rivers/Streams	Yes		River Jhelum is within 1 km from the proposed road (Hajin at RD 0+000 km
	Question	Yes	No	Details
0.	Swamps/Mudflats		No	-
p.	Zoological Park		No	-
q.	Botanical Garden		No	
2.	Is the sub-project located in w sensitive features?	hole or p	art wit	hin 500 mts. of any of the following
a.	World Heritage Sites		No	-
b.	Archaeological monuments/ sites (under ASI's central/state list)		No	-
c.	Historic Places/Monuments/ Buildings/Other Assets (not listed under ASI list but considered locally important carry a sentimental value)		No	
d.	Religious Places (regionally or locally important)	Yes		A local Shrine of Syed Jamal-u-din Bukhari is located within the 500 mtr. at RD 4+000 (LHS).
e.	Reservoirs/Dams		No	-
f.	Canals	Yes		Irrigation canal is running along the existing road within the 500 mtr. from RD 1+200 to 2+400 and RD 3+900 to 6+500
g.	Public Water Supply Areas from Rivers/Surface Water Bodies/ Ground Water Sources		No	-
3.	What is the High Flood Level in the sub-project area?	?		

4.	Is any zscheduled/protected tree like Chinar, Mulberry or Deodar likely to be affected/cut due to the project?	No	
5.	Is the sub-project located in a landslide/heavy erosion prone area or affected by such a problem?	No	
6.	Is sub-project located in an area that faces water paucity or water quality issues?	No	

# Part B (2): Result/Outcome of Environmental Screening Exercise

1.	Environment Impact Assessment Required	No
2.	Environment Clearance Required	No
3.	Forest land Clearance/Diversion Required	No
4.	Tree Cutting Permission Required	No
5.	ASI (Centre/State) Permission Required	No
6.	Permission from ULB/Local Body/Department Required	No
7.	Any other clearance/permission required	Consent to Establish (CTE) and Consent to Operate (CTO) from SPCB will be required for Hot mix Plants, Wet Mix Plants, Stone Crushers, PUC's and other fitness certificates of equipment etc.

# Part C (1): Social Screening

1. Does the sub-project activity require acquisition of land?						
Yes		No	√ (No land required; work will be done in the available RoW)			

		Private Land	(sq mts/hac.)		1	Nil
Give the following details:		Govt. Land (sq mts/hac.)			N	il
		Forest Land (	sq mts/hac.)		N	il
2. Does the proposexisting structu		o-project activ	ity result in de	emoliti	on/remov	al of
Yes			No			$\sqrt{}$
If so, give the following	ng deta	ils:				
<ul> <li>Number of publ structures/buil</li> </ul>			Nil			
<ul> <li>Number of resources religious/culture</li> </ul>	commo (su ral/	1 1 2	Nil			
<ul> <li>Number of (located on prival)</li> </ul>	private vate or		Nil			
3. Does the propos	sed pro	ject activity r	esult in loss of	crops	trees?	
Yes	Poplar Willow are bearing	√ O nos of small r and few w Trees). They non-fruit ng and non- uled trees	No			
4. Does the proper employment?	osed	Project activi	ity result in	loss	of direct	livelihood/
Yes			No			$\sqrt{}$
5. Does the propo which nearby re						pastures on
Yes			No			$\sqrt{}$
If yes, give the details to be lost (in acres/h		extent of area	-			
6. Does the propos	sed Pro	oject activity a	ffect schedule	d tribe	/caste con	nmunities?
Yes			No			$\sqrt{}$

Part C (2): Result/Outcome of Social Screening Exercise

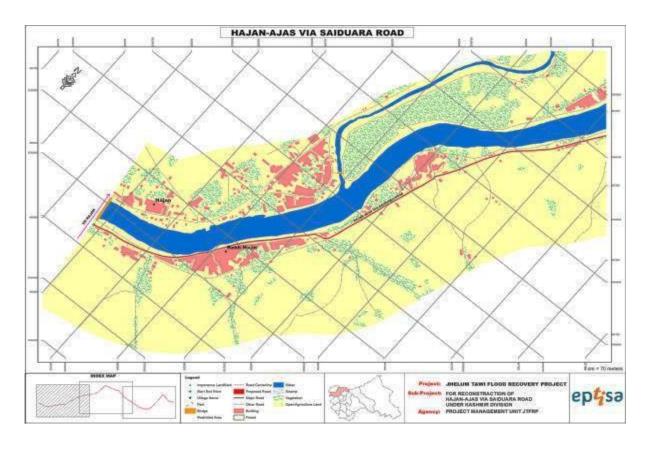
S. No.	Result/Outcome	Outcome
1.	Answer to all the questions is 'No' and only forest land is being acquired	No SIA/RAP required
2.	Answer to any question is 'Yes' and the sub-project does not affect more than 200 people (i.e. either complete or partial loss of assets and/or livelihood)	No Abbreviated RAP is required
3.	Answer to any question is 'Yes' and the sub-project affects more than 200 people (i.e. either complete or partial loss of assets and/or livelihood)	No SIA/RAP Required

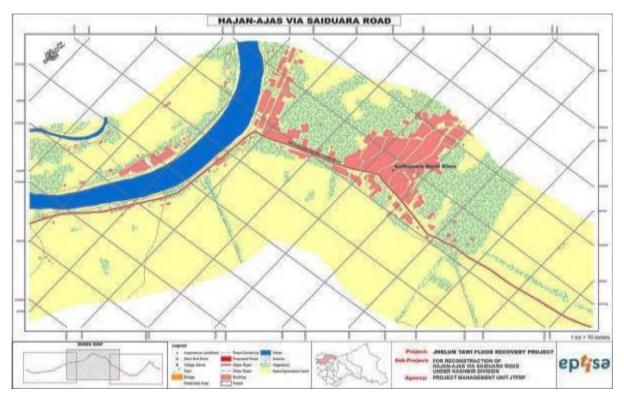
#### **Outcome of Screening:**

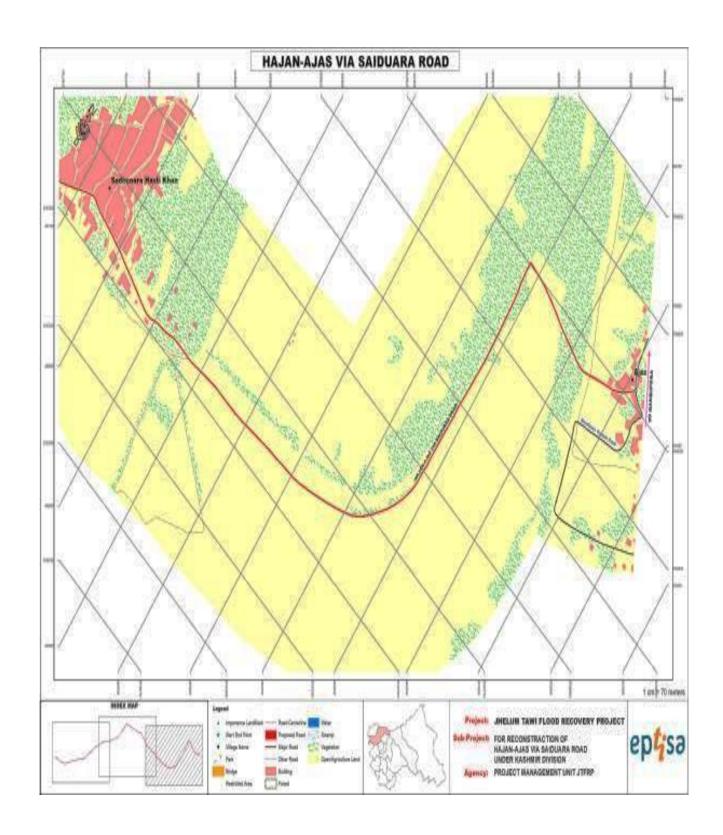
As per the screening exercise, the proposed sub project does not have significant environmental and social issues. The proposed sub-project is only the Improvement & Up-gradation of the existing road and does not involve land acquisition of private or government land. Wherever the required land width is not available, the construction will be carried out in the available land width. Modification in the design has been completed as a part of the mitigation measures.

However, in order to assess the temporary impacts, to note the existence of squatters and encroachers on the site SIA required for the proposed subproject. SIA study will also assist as tool for preparation of Social Management Plan for the sub-project.

# **Annexure 2: GIS Map of the Proposed Sub-project**







#### **Annexure 3: Encumbrance Free RoW Certificate by PIU**



#### Government of Jammu and Kashmir Economic Reconstruction Agency 2<sup>nd</sup> floor Commercial Complex, Rambagh, Srinaga E-mail:-projectmanager049@gmail.com 0194-2443922



No. ERA/PMT/20/1/22

Date: 07/9/20

#### Undertaking for encumbrance free existing RoW

Sub-project Road: IMPROVEMENT & UPGRADATION OF HAJIN AJAS VIA SAIDNARA ROAD IN DISTRICT BANDIPORA

The Chief Engineer R&B Department Kashmir vide his letter No. CE/RBK/HD/7165 dated 14.06.2019 has certified the RoW of the road namely HAJIN AJAS VIA SAIDNARA ROAD "Minimum 5.50 meter".

It is hereby certified that the up-gradation and strengthening of this road for a length of 7.186 Km under JTFRP is restricted to this existing and available RoW. It is also certified that there are no residential, commercial, religious structures or any CPR in the existing RoW.

Hence, the RoW is encumbrance free.

Project Manager (Transport) / Project Manager (JKPCC Works) J&K ERA/JTFRP

## Annexure 4: Row Communication from Chief Engineer PWD (R&B) Kashmir

Government of Jammu & Kashmir

OFFICE OF THE CHIEF ENGINEER PW (R&B) DEPARTMENT KASHMIR.

The Director / Nodal Office, J&K Economic Construction Agency (ERA) Jammu Tawi Flood Restoration Programme (JTFRP) Kashmir.

No: -CE/RBK/HD/



Dated: - 14-06 2019.

Subject: -

Preperation of DPR's for 12 Road Projects to be taken up by ERA/JTFRP in Kashmir Division under World Bank Funding Assistance (PMU-JTFRP) Reg: Providing of Latest ROW.

Reference:- Your office letter No: ERA/DAK/92/118-132 dated: 22.04.2019.

Sir,

As desired, vide your office communication referred to above for the captioned subject, in this context the requisite information has been sought from concerned Executive Engineer's for ROW of the following roads shown the status against each for favour of information and further necessary action at your end please.

However, the further verification can be obtained from Revenue department.

S.No	Name of Road	District	Status	ROW
01	Strengthening / Upgradation of Sangam Khudwani road	Anantnag	Single Lane	Min•22'-6"
02	Upgradation of Pampore Pulwama Road	Pulwama .	Intermediate	ROW 50'-0"
03	Kadabal Lasjan Rambagh including allied links	Srinagar	Single Lane at Places intermediate	ROW 26'-0"
04	Upgradation of Parimpora Soibugh	Budgam	Single Lane/ at Places intermediate	ROW 5.00 Mtr
05	Hajin Ajas via Saidnara	Bandipora	Single Lane	ROW 5.5 Mtr
06	Construction of Rigid Pavement of IG Road Peerbagh Bridge to Humhama Chowk	Srinagar	Double Lane	ROW 21 Mtr
07	Upgradation of Kawahar Bala Payeen	Baramulla	Single Lane	ROW 4.5 to 5 Mtr
08	Construction of Rigid Pavement to Eastern Foreshore Road (Bari Nambal)	Srinagar	Double Lane	ROW 21 Mtr
09	Shadipora Khanpeth Sumbal Road	Bandipora	Single Lane	ROW 5.5 Mtr
10	Bijbehara to Karihama National Highway via Kitriteng	Anantnag	Single Lane	ROW Min 21'-6"
11	Construction of Rigid Pavement of IG Road Rambagh to Civil Sectt Srinagar	Srinagar	Double Lane	ROW 21 Mtr with Bottle necks
12	Upgradation of Hamray Sultanpora	Baramulla.	Single Lane	ROW 5.5 Mtr
	Nowgano to Sumbal Bridge	Bandipora		

Yours faithfully,

#### **Annexure 5: Notice for inviting objections (15.9.2021)**



# Government of Jammu & Kashmir **J&K Economic Reconstruction Agency (ERA)** (World Bank Funded)

## **Public Notice**

Subject: Up-gradation of Roads in Kashmir Division of UT of Jammu & Kashmir under JTFRP This is for the information of public in general and those likely to be benefitted/affected in particular that the following road sub-projects have been taken up for up-gradation within the existing width-of-road/right-of-way under the World Bank funded Jhelum & Tawl Flood Recovery Project by Jammu and Kashmii

Economic Reconstruction Agency in Kashmir Division of the UT of J&K.

S. No.	Name of the sub-project	District	Length of the road	Major settlements along the road
	Hamaray Sultanpora from Nowgam to Sumbul Bridge.	Baramullah	12.690 kms	Hamray, Tramba Gund, Rakh Haigam, Wussan, Gundi Jahangir, Sadat Pora, Sumbal, Tanga Pora, Bulagam.
2	Shadipura Khanpeth. — * Sumbal road.	Baramullah / Bandipora	6.00 kms.	Shadipora, Rakh Shilyat, Tirgam, Najin, Parihaspora, s Gund Khalil, Turgam, Khanpeit
3	Hajin Ajas Road Via Saidnara Road.	Bandipora	7.186 kms	Koshum Bagh, Rakhi Hajan,Sadurkote,Sadhunhara, Gund Prang Ajas
4	Parimpora-Soibugh Road.	Srinagar/Budgam	7.927 kms	Abansa, Sarifabad, Bemina, Hajibagh, Pethmakhama, Gotapora
5	Sangam Khudwani Road.	Anantnag	4.750 kms	Sangam, Hassain Pora Tavela, Arwani, Wanpoh, Qaimoh
6	Bijbehara waghama Road via katriteng	Anantnag	Main: 7.340 kms Link 1.050 kms	Bijbehara, Hayar, Waghama, Hassain Pora Tavela

Objections if any, as far as Right of Way is concerned, duly supported by authentic documentary evidence, shall be received in the office of the undersigned eithe through email or by post on the address given below within 07 days from the date of publication of this notice in the newspaper...

> Sd/-Director Safeguards, J&K ERA 2nd Floor, ERA Complex Rambagh Srinagar, J&K, Pin 190009; Email: directorsgjkera@gmail.com

**DIPK NO: NB-3514-21** 



Srinagar, Thursday September 16, 2021

# J&K Economic Reconstruction Agency (ÉRA) Project Management Unit (JTFRP)

(World Bank Funded)

2nd Floor JKPCC Building, Panama Chowk, Rail Head Complex, Jammy

#### **PUBLIC NOTICE**

Subject: Up-gradation of Roads in Kashmir Division of UT of Jammu & Kashmir under JTFRP

This is for the information of public in general and those likely to be benefitted/affected in particular that the following road sub-projects have been taken up for up-gradation within the existing width-of-road/right-of-way under the World Bank funded Jhelum & Tawi Flood Recovery Project by Jammu and Kashmir Economic Reconstruction Agency in Kashmir Division of the UT of J&K.

S. No.	Name of the sub-project	District	Length of the road	Major settlements along the road
1	Hamaray Sultanpora from Nowgam to Sumbul Bridge.	Baramulla	12.690 kms	Hamray, Tramba Gund, Rakh Haigam, Wussan, Gundi Jahangir, Sadat Pora, Sumbal, Tanga Pora, Bulagam.
17 P			6.00 kms	Shadipora, Rakh Shilvat, Tirgam, Najin, Parihaspora, Gund Khalit, Turqam, Khanpeit
1	Hajin Ajas Road Via Saidnara Road.	Bandipora	7.186 kms	Koshum Bagh, Rakhi Hajan, Sadurkote, Sadhunhara, Gund Prang Ajas
	Parimpora-Soibugh Road.	Srinagar/Budgam	7.927 kms	Abansa, Sarifabad, Bemina, Hajibagh, Pethmakhama, Gotapora
113.	Sangam Khudwani Road. 📉 👸 🗘	Anantnag 3	4.750 kms	Sangam, Hassaín Pora Tavela, Arwani, Wanpoh, Qaimoh
	Bijbehara waghama Road via catriteng.	Anantnag	Main: 7.340 kms Link 1.050 kms	Bijbehara, Hayar, Waghama, Hassain Pora Tavela

Objections if any, as far as Right of Way is concerned, duly supported by authentic documentary evidence, shall be received in the office of the undersigned either through email or by post on the address given below within 07 days from the date of publication of this notice in the newspaper.

DIPK-HB: 3514/21 DATED: 15/09/2021

пинаниянияния

Director Safeguards, J&X ERA 2nd Floor, ERA Complex Rambagh Srinagar, J&K, Pin 190009; Email: directorsgjkera@gmail.com

#### Annexure 6: Reconfirmation of RoW by PMU.



#### Government of Jammu and Kashmir J&K Economic Reconstruction Agency

Jhelum Tawi Flood Recovery Project 2<sup>nd</sup> floor ERA Complex, Rambagh, Srinagar 2<sup>nd</sup> Floor JKPCC Building Railhead Complex Jammu



Subject: Encumbrance-free sites for up-gradation of roads under JTFRP (Kashmir Division).

Whereas J&K ERA (K) as PIU for the road sub-projects in Kashmir Division certified the Right of Way for all the 09 roads being encumbrance-free. The revenue records of 03 roads viz., 1. Construction of Rigid pavement of IG Road Rambagh to Civil Secretariat Road in Srinagar. 2. Construction of Rigid pavement of IG Road Peerbagh Bridge to Humhama Chowk and 3. Improvement and Up-gradation of Parimpora-Soibugh Road was available. Accordingly, documents have been shared with the WB for approval.

However the RoW provided by the PIU (K), in absence of relevant land records could not be verified for 06 roads viz.,

 Hamray-Sultanpora from Nowgam to Sumbal Bridge road, 2. Shadipora Khanpeth Sumbal Road, 3. Hajin Ajas Road Via Saidnara Road, 4. Parimpora-Soibug Road (got notified inadvertently instead of Eastern foreshore road), 5. Sangam Khudwani Roiad, 6. Bijbehara Waqhama Road via Katritena

The matter of 06 roads was discussed and deliberated upon in-house and with the team of the World Bank in different meetings for resolution. It was agreed that PMU will notify in the newspapers and invite objections from people who thinks that their assets has affected or likely to be affected due to execution of sub-projects under JTFRP. Accordingly, notification for inviting objections was issued in two daily newspapers on 16/09/2021 in Kashmir Images and the daily Tameel Irshad for 06 roads (mentioned above), detailing the name of the roads its scope, and the villages/habitations likely to be affected/benefitted.

The objections were supposed to be received in the office of Director Safeguards (Kashmir) within 07 days after the publication of this notification. Despite the lapse of more than a month, no objection has been received in the office of Director Safeguards either through email or post.

Therefore, RoW within which the roads are being up-graded/constructed is deemed to be encumbrance-free.

NO- BRAINSAIPS/94-99 CIT! - 25-10-2021

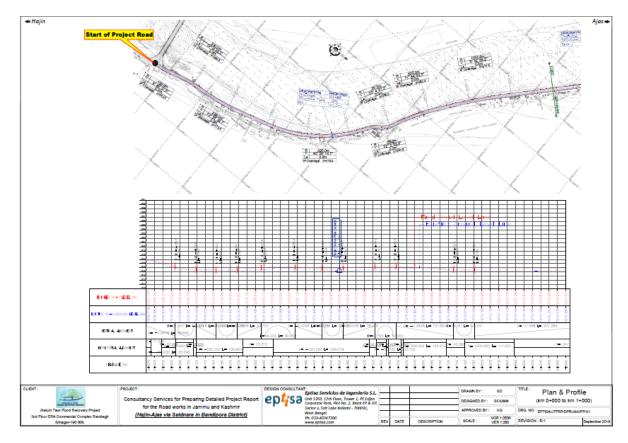
Copy to:-

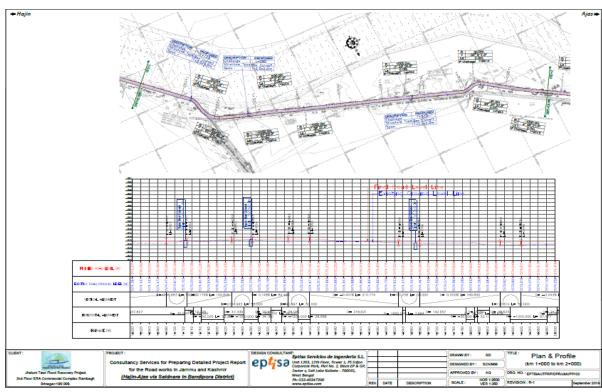
- 1. Chief Executive Officer, J&K ERA for kind information
- 2. Director Kashmir, J&K ERA for information
- 3. Project Manager (T), J&K ERA Kashmir for information
- 4. Environmental Expert, J&K ERA for information
- 5. Social Expert, J&K ERA for information
- 6. Team Leader, TAQAC for information

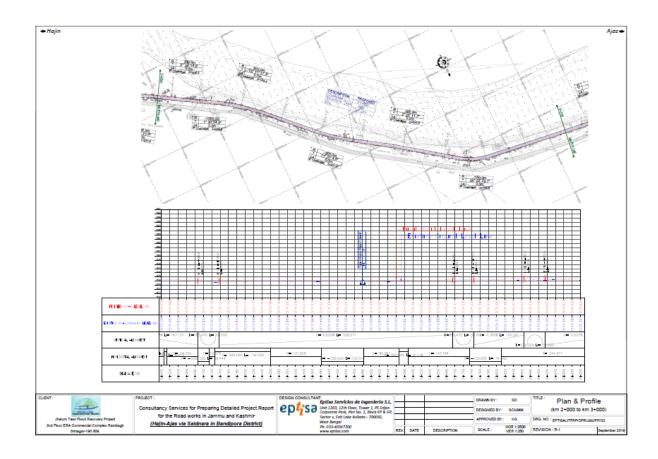
Scanned with CamScanner

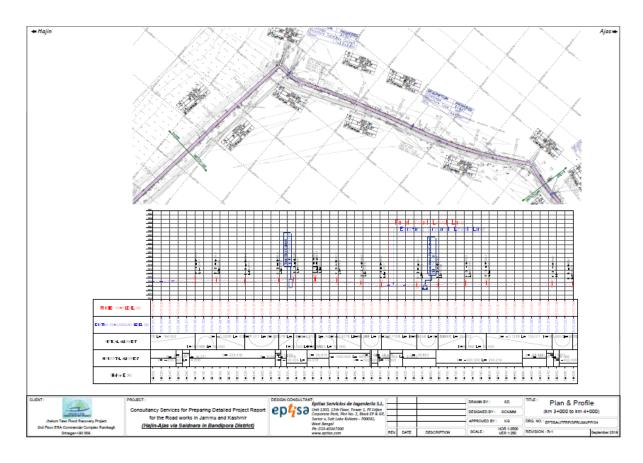
JK ERA/JTFRI

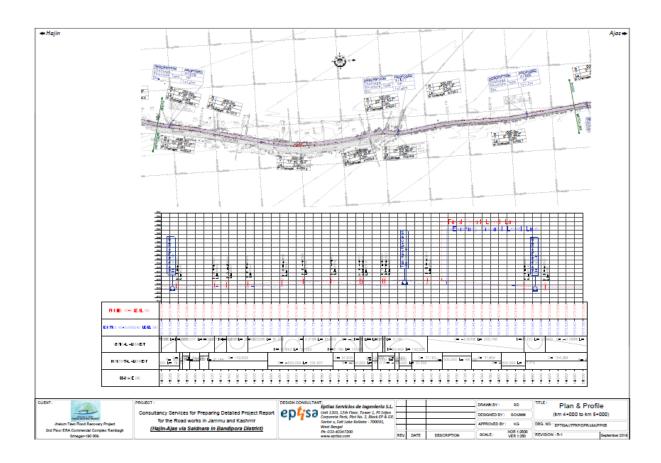
#### **Annexure 7: Plan & Profile**

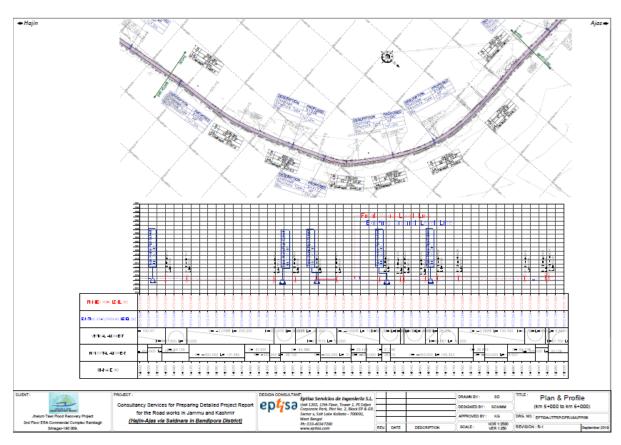


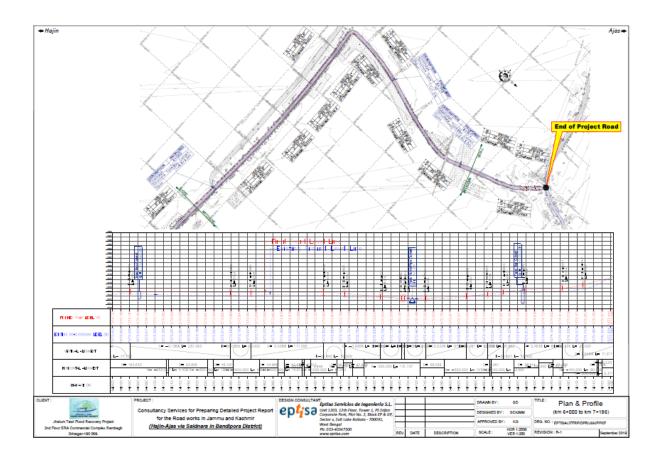






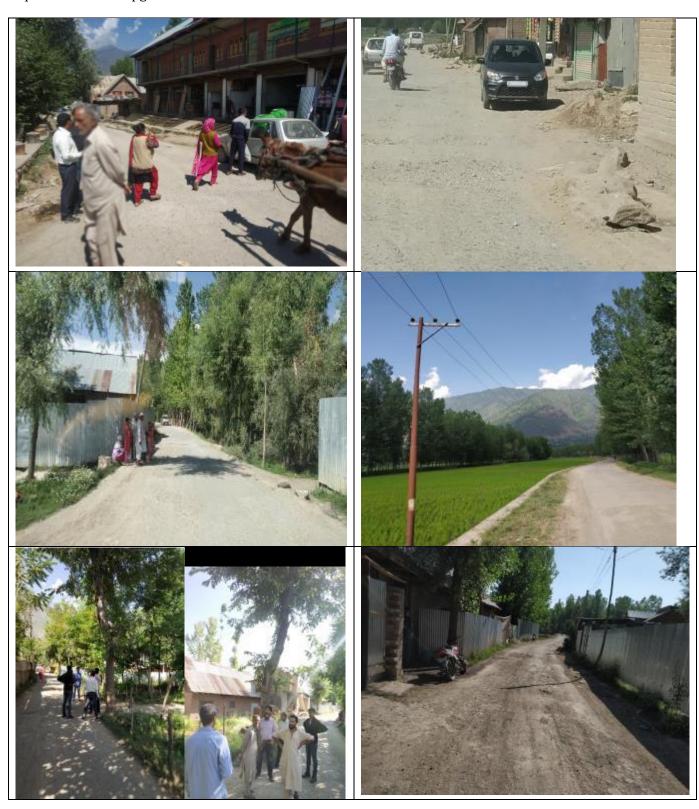






# **Annexure 8: Photographs of the Road**

Photographs showing Existing Road Condition of Hajin, Saidnara, and Ajas areas of proposed improvement and upgradation of the road.





# Annexure 09: Public Consultation (20.6.2019 & 28.6.2019)

# Public Consultation/ Meeting Photographs of Hajin-Ajas Via Saidnara





List of consulted participants and their signatures during consultation with the residents of Hajin, Saidnara and Ajas areas of proposed project in Bandipora District

S. No	Name	Age/ Sex	Occupation	Address	by: Acres & frat Signature
1.	Sufter way	7 60)m	France.	Satorda	g . w 18
2.	Jahor Al	2. 50/m	Croinen	Sadkan	9697830539
3.	Absm Me	Sus 80/1	y Samo	Sastner	AMK
4.	Mohera	1 60/M	Fan	Sellner	e. Yshy
5.	Gulan Ah	المراسل ال	(Baking	gardner	- 60/5/10
6.	warist on	· 88) M	Per.	seidna	-, 1/3/2/N
7.	More free	- 56/p	1. shogkuj	a sestina	-Ajas MAD
8.	Ab. Reszi	2 89/n	Frener	sa'd aux	- 10 is 11 1.5
9.	Ghula Ha	n 58/m	Farmer	Sziman	a) as Soul
10.	Mayer In	. 30)~	1 contact	n- Sattum	062243731
11.	forma A	L. 49/1	y Framer	Seld man	· - To Deed un
12.	quita 42	in 41/1	y sel-	Setbues	- As Faros Mr
13.	Tanta Att	· 40/,	y Farmer	Sallin	Tary Ah. Gar 600534515
14.	gulan Ho	m 60)	es former	- (2)	أغذام حن
15.	Mous alto	wy 45	Bus.	Some	12-Ages 50602
16.	Mudasi	20	Shapkey	er bedna	ran Assay July
		SAMLA	President MASJID G	OSEIA 1130	file T
	06,	المريق ساء	of Class	ION OF DER'S OF ROADS IN J	Consulation Office Consulation Office Suring our Surjour Surjour Surjour

DA	Name	Age/ Sex	Occupation	onsultation Conducted by: Address	Signature
	Abdul Lehren	- 77/M	Bad Sew	Haf in lange	M. Ashrot T
	but is the	28/W	Shopkey	a Hegai ( lesson	والارائدوك
	Arter	45/1	gro'ress	Sad (Hest)	00827498
	Manj-di	20/M	1 messans	an Ashan, sufifor	Mehraj
)	Het Thus	25/m	Topkey	- Helin app	Ryl,
3.	Farsag shi	7. 48/M	Moflecy	(tack by)	تا روى الحديدة
7.	Ably Major	30/01	Doglecy	( Den sep.)	bory
8.	my fram	- 30/m	gropung	( dest gip)	Kinkey
9.	Abon Klel	7. 41/M	noplecy	- Hayin (dans)	-Atum?
10.	18holu Alin	m-30 -	r	Sulderger.	
11.	Shadama. Hu	184 25T	Shulmet	Salouri.	Sonder
12.	Morel Afredu	wy.35	fles meer.	Saluero.	MAW
13.	form. She	50.	Gorfee	· Culour (	1016
14.	Footy when	1.60	fasmus	- Steleeler - "	Alunthe
15.	Alux Donne	1.25	Igusus.	guel	Marine
	eptisa consultano	9 U	JAMIA M.	PELED GOSEIA ) SON SENDENCE SON OF DER'S OF ROADS IN JAMMU & KASI	JUG HMIR